

CARNEY CRAIG B  
Form 4  
August 14, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**CARNEY CRAIG B**

(Last) (First) (Middle)

63 CONSTITUTION DR

(Street)

CHICO, CA 95973

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

TRICO BANCSHARES / [TCBK]

3. Date of Earliest Transaction (Month/Day/Year)

08/13/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

EVP Chief Credit Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    | 08/13/2018                           |  | M                              | 423   | A 11  | 20,832   | D   |
| Common Stock                    | 08/13/2018                           |  | F                              | 130   | D \$ 39.17  | 20,702   | D   |
| Common Stock                    | 08/14/2018                           |  | J <sup>(2)</sup>               | 0   | A \$ 0  | 15,148.93  | I By ESOP   |
| Common Stock                    | 08/14/2018                           |  | J <sup>(2)</sup>               | 0   | A \$ 0  | 127.47   | I By Son  |
| Common Stock                    | 08/14/2018                           |  | J <sup>(2)</sup>               | 0   | A \$ 0  | 133.85   | I By Daughter   |

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Common Stock 08/14/2018 J(2) 0 A \$ 0 117.01 I By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price or Derivative Security (Instr. 5) |                            |  |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|--|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |  |
|  |  |                                      |  |                                |   | Code   | V (A) (D)   |  |                            |  |
| Restricted Stock Unit                      | (1)  | 08/13/2018                           |  | M                              | 423   | (1) (1)  | Common Stock  | 423  | \$ 0                       |  |

## Reporting Owners

| Reporting Owner Name / Address                          | Relationships |           |                          |       |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  | Other |
| CARNEY CRAIG B<br>63 CONSTITUTION DR<br>CHICO, CA 95973 |               |           | EVP Chief Credit Officer |       |

## Signatures

/s/ Craig Carney By Jason Cove  
Attorney-In-Fact 08/14/2018

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Result of vesting of an RSU granted August 11, 2014 that was vested on August 13, 2018. Closing price of \$39.17 on August 13, 2018. Shares withheld to pay taxes.
- (2) No transactions occurred amongst these shares. Intended only to reflect number of shares beneficially owned.

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