APPLE INC
Form 4
October 18, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KONDO CHRIS

(Last) (First) (Middle)

1 INFINITE LOOP

(Street)

CUPERTINO, CA 95014

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
APPLE INC [AAPL]

3. Date of Earliest Transaction (Month/Day/Year)
10/14/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
Principal Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	10/15/2016		M		4,798	A	(1) (2)	11,582	D	
Common Stock (3)	10/15/2016		F		2,137	D	$ 117.63	9,445	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(1)	10/14/2016		A		16,578		(4)	(4)	Common Stock	16,578
Restricted Stock Unit	(1) (2)	10/15/2016		M			719	(5)	(5)	Common Stock	719
Restricted Stock Unit	(1) (2)	10/15/2016		M			942	(6)	(6)	Common Stock	942
Restricted Stock Unit	(1) (2)	10/15/2016		M			993	(7)	(7)	Common Stock	993
Restricted Stock Unit	(1) (2)	10/15/2016		M			2,144	(8)	(8)	Common Stock	2,144

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KONDO CHRIS 1 INFINITE LOOP CUPERTINO, CA 95014			Principal Accounting Officer	

Signatures

/s/ Sam Whittington, Attorney-in-Fact for Chris Kondo	10/18/2016
**Signature of Reporting Person	Date

Explanation of Responses:

- ***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- **(2)** This transaction represents the settlement of restricted stock units in shares of common stock on their scheduled vesting date.
- **(3)** Shares withheld by Registrant to satisfy the minimum statutory tax withholding requirements on vesting of restricted stock units. No shares were sold.
- **(4)** This award was granted on October 4, 2016. 12.5% of the award will vest on April 15, 2017 and the remaining restricted stock units vest 12.5% in semi-annual installments over a four-year period ending October 15, 2020, assuming continued employment through the applicable vesting date.

(5) This award was granted on October 27, 2012. 12.5% of the award vested on April 15, 2013 and the remaining restricted stock units vest 12.5% in semi-annual installments over a four-year period ending October 15, 2016.

(6) This award was granted on October 7, 2013. 12.5% of the award vested on April 15, 2014 and the remaining restricted stock units vest 12.5% in semi-annual installments over a four-year period ending October 15, 2017, assuming continued employment through the applicable vesting date.

(7) This award was granted on October 10, 2014. 12.5% of the award vested on April 15, 2015 and the remaining restricted stock units vest 12.5% in semi-annual installments over a four-year period ending October 15, 2018, assuming continued employment through the applicable vesting date.

(8) This award was granted on October 5, 2015. 12.5% of the award vested on April 15, 2016 and the remaining restricted stock units vest 12.5% in semi-annual installments over the four-year period ending October 15, 2019, assuming continued employment through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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