APPLE INC Form 4 October 18, 2016

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(City)

(State)

(7:m)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Maestri Luca Issuer Symbol APPLE INC [AAPL] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 1 INFINITE LOOP 10/14/2016 below) Senior Vice President, CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CUPERTINO, CA 95014 Person

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, if		ansaction Disposed of (D)			5. Amount of Securities	6. Ownership	7. Nature of Indirect
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(Instr. 3, 4) Amount	(A) or (D)	Price	Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
Common Stock	10/15/2016		M	12,558	A	(1) (2)	35,253	D	
Common Stock (3)	10/15/2016		F	6,248	D	\$ 117.63	29,005	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Restricted Stock Unit	(1)	10/14/2016		A	85,013		<u>(4)</u>	<u>(4)</u>	Common Stock	85,013
Restricted Stock Unit	<u>(1)</u>	10/14/2016		A	64,654		<u>(5)</u>	(5)	Common Stock	64,654
Restricted Stock Unit	<u>(1)</u> <u>(2)</u>	10/15/2016		M		12,558	<u>(6)</u>	<u>(6)</u>	Common Stock	12,558

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Maestri Luca 1 INFINITE LOOP CUPERTINO, CA 95014

Senior Vice President, CFO

## **Signatures**

/s/ Sam Whittington, Attorney-in-Fact for Luca Maestri

10/18/2016

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (2) This transaction represents the settlement of restricted stock units in shares of common stock on their scheduled vesting date.
- (3) Shares withheld by Registrant to satisfy the minimum statutory tax withholding requirements on vesting of restricted stock units. No shares were sold.
- (4) These restricted stock units are scheduled to vest as to one-third of the units on each of April 1, 2019, April 1, 2020 and April 1, 2021.
- Vesting of these restricted stock units depends on Apple's relative total shareholder return from September 25, 2016 through September 28, 2019. The "target" number of restricted stock units is reported. Between 0% and 200% of the target number of units may vest on October 1, 2019, with the vesting percentage determined based on actual performance.
- This award was granted on October 7, 2013. 12.5% of the award vested on April 15, 2014 and the remaining restricted stock units vest (6) 12.5% in semi-annual installments over the four-year period ending October 15, 2017, assuming continued employment through the applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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