Jain Ajit Form 3 January 18, 2018

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement BERKSHIRE HATHAWAY INC [BRK.A] Jain Ajit (Month/Day/Year) 01/10/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 100 FIRST STAMFORD (Check all applicable) PLACE, Â BHRG (Street) 6. Individual or Joint/Group 10% Owner \_X\_ Director \_X\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting Vice Chairman Person STAMFORD, CTÂ 06902 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 4) (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Class B Common Stock D 1.224 By Jain Foundation Inc. Class B Common Stock Ι 134,395 (Non-Profit Corporation) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.}, puts, calls, warrants, options, convertible\ securities)$ 

currently valid OMB control number.

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

2. Date Exercisable and Securities Underlying Derivative Security

3. Title and Amount of Expiration Date (Conversion or Exercise Form of Exercise Form of Conversion Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Class A Common Stock	(1)	(1)	Class B Common Stock	100,500 (2)	\$ <u>(2)</u>	D	Â
Class A Common Stock	(1)	(1)	Class B Common Stock	103,500 (3)	\$ <u>(3)</u>	I	By Spouse
Class A Common Stock	(1)	(1)	Class B Common Stock	81,000 (4)	\$ <u>(4)</u>	I	By the Ajit Jain GRAT Trust
Class A Common Stock	(1)	(1)	Class B Common Stock	91,500 (5)	\$ <u>(5)</u>	I	By the Indrima Jain GRAT Trust

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Jain Ajit 100 FIRST STAMFORD PLACE BHRG STAMFORD, CT 06902	ÂX	Â	Vice Chairman	Â		

#### **Signatures**

Ajit Jain 01/18/2018 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not Applicable
- Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock.
- (2) The reporting person directly owns 67 shares of Class A Common Stock, which are convertible into 100,500 shares of Class B Common Stock.
- Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock. (3) The reporting person's spouse owns 69 shares of Class A Common Stock, which are convertible into 103,500 shares of Class B Common Stock.
- Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock.
- (4) A family-planning trust established by the reporting person for the benefit of the reporting person's children owns 54 shares of Class A Common Stock, which are convertible into 81,000 shares of Class B Common Stock.
- (5) Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock. A family-planning trust established by the spouse of the reporting person for the benefit of the reporting person's children owns 61 shares

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of Class A Common Stock, which are convertible into 91,500 shares of Class B Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.