Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 5/A

GENERAL AMERICAN INVESTORS CO INC

Form 5/A

February 10, 2017

Reported

(City)

(State)

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per OWNERSHIP OF SECURITIES 5 obligations response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions

1. Name and Address of Reporting Person ** GORDAN JOHN D III			2. Issuer Name and Ticker or Trading Symbol GENERAL AMERICAN INVESTORS CO INC [GAM]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2016	_X_ Director 10% Owner Officer (give title below) Other (specify below)			
C/O GENER INVESTORS PARK AVEN	COMPAN	Y, 100					
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year) 02/09/2017	6. Individual or Joint/Group Reporting (check applicable line)			
NEW YORK	, NY 10	017		X Form Filed by One Reporting Person			

X Form Filed by One Reporting Person ___ Form Filed by More than One Reporting Person

(City)	(State)	Tabl	e I - Non-Der	ivative Se	curiti	es Acquire	d, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Sec Transaction (A) or Code (Instr.		ecurities Acquired or Disposed of (D) tr. 3, 4 and 5) (A) or ount (D) Price		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	Ownership Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/30/2016	Â	J <u>(1)</u>	1,042 (2)	A	\$ 31.375	11,666 (2) (3)	D	Â
Common Stock	12/30/2016	Â	J <u>(1)</u>	326	A	\$ 31.375	3,656	I	By Spouse (4)
5.95% Preferrred Stock	Â	Â	Â	Â	Â	Â	1,000	D	Â

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						
					(A) or						
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	TC:41	or		
						Exercisable	Date		Number		
					(A) (D)				of		
					(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

GORDAN JOHN D III

C/O GENERAL AMERICAN INVESTORS COMPANY 100 PARK AVENUE - 35TH FLOOR NEW YORK, NYÂ 10017

X Â Â Â

Signatures

/s/ John D. Gordan III 02/10/2017

**Signature of
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares received in payment of dividends.
- (2) Amended to correct the amount of shares originally reported.
- (3) Includes 3,656 shares held in an IRA account.
- (4) Held by wife, Catherine M.S. Gordan. I disclaim any beneficial ownership of these shares.

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Remarks:

Amendment to correct the over statement of shares received in payment of dividends. Amount origin Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

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