Edgar Filing: Christopher M Todoroff - Form 4

Christopher M	1 Todoroff											
Form 4												
February 21, 2	2013											
FORM	Δ									PPROVAL		
	UNITED	STATES		ITIES Al hington, 1			NGE (COMMISSION	OMB Number:	3235-0287		
Check this if no longe subject to Section 16	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Expires: January 31 2005 Estimated average burden hours per						
Form 4 or										response 0.5		
Form 5 obligations may contin <i>See</i> Instruct 1(b).	s Section $17(a)$	a) of the		ility Hold	ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40				
(Print or Type Ro	esponses)											
1. Name and Address of Reporting Person <u>*</u> Christopher M Todoroff			2. Issuer Name and Ticker or Trading Symbol HUMANA INC [HUM]			5. Relationship of Reporting Person(s) to Issuer						
(Last)	(First) (N	liddle)	3 Date of	Earliest Tra	neaction			(Chec	ck all applicable	e)		
	NC., 500 WEST	,	(Month/Da 02/20/20	ay/Year)	linsaction			Director X Officer (give below) Sr. VP a		6 Owner er (specify 1115el		
				4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
LOUISVILL	E, KY 40202							Form filed by M Person	More than One Re	eporting		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Dee Aonth/Day/Year) Execution any (Month/		3. Transactio Code (Instr. 8)	TransactionAcquired Code Disposed		(A) or of (D) 4 and 5)	Securities Beneficially Owned Brollowing Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				a 1 b		(A) or	D .	Transaction(s) (Instr. 3 and 4)				
Humana				Code V	Amount	(D)	Price					
Common								22,226	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ctiorDerivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (1)	\$ 29.53						(2)	04/28/2016	Humana Common	11,667
Options (1)	\$ 46.4						(3)	02/18/2017	Humana Common	13,849
Options (1)	\$ 61.18						(4)	02/17/2018	Humana Common	22,767
Options (1)	\$ 88.6475						(5)	02/23/2019	Humana Common	24,374
Options (1)	\$ 72.84	02/20/2012		А	14,041		(6)	02/20/2020	Humana Common	14,041
Restricted Stock Units <u>(7)</u>	<u>(9)</u>						(10)	(10)	Humana Common	5,149
Restricted Stock Units <u>(7)</u>	<u>(9)</u>						(11)	(11)	Humana Common	4,738
Restricted Stock Units (8)	<u>(9)</u>	02/20/2013		А	4,633		(12)	(12)	Humana Common	4,633

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Christopher M Todoroff HUMANA INC. 500 WEST MAIN STREET LOUISVILLE, KY 40202			Sr. VP and General Counsel	1			

Signatures

Christopher M. Todoroff	02/21/2013		
**Signature of Reporting	Date		

Reporting Owners

Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Right to buy pursuant to the Company's 2003 Stock Incentive Plan.
- (2) Non-Qualified stock option grant vests in three increments from 4/28/10 to 4/28/12.
- (3) Reporting person was granted the maximum number of Incentive stock options allowed and remaining options were granted as Non-Qualified stock options. The option grant vests in three increments from 2/18/11 to 2/18/13.
- (4) Reporting person was granted the maximum number of Incentive stock options allowed and remaining options were granted as Non-Qualified stock options. The option grant vests in three increments from 2/17/12 to 2/17/14.
- (5) Reporting person was granted the maximum number of Incentive stock options allowed and remaining options were granted as Non-Qualified stock options. The option grant vests in three increments from 2/23/13 to 2/23/15.
- (6) Incentive and Non-Qualified stock options granted to reporting person on 02/20/13, vesting in three increments from 02/20/14 to 02/20/16.
- (7) Right to receive one share per restricted stock unit pursuant to the Company's 2003 Stock Incentive Plan.
- (8) Right to receive one share per restricted stock unit pursuant to the Company's 2011 Stock Incentive Plan.
- (9) Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
- (10) Restricted stock units granted to reporting person on 02/17/11, 100% of the award is vesting on 02/17/14.
- (11) Restricted stock units granted to reporting person on 02/23/12, 100% of the award is vesting on 02/23/15.
- (12) Restricted stock units granted to reporting person on 02/20/13, 100% of the award is vesting on 02/20/16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.