Bernard Robert J Form 3 September 02, 2010

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response...

0.5

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement STAGE STORES INC [SSI] A Bernard Robert J (Month/Day/Year) 08/30/2010 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 10201 MAIN STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person HOUSTON, TXÂ 77025 (give title below) (specify below) Form filed by More than One President and COO / South Hill Reporting Person Division (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock  $20,000^{(1)}$ D Â Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

information contained in this form are not required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	· ·		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			(Instr. 4)		Derivative	Security:	(IIISU. 3)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Security	Direct (D)	)
						or Indirect	

#### Edgar Filing: Bernard Robert J - Form 3

Shares (I) (Instr. 5)

Stock Appreciation Rights

08/30/2012 08/30/2017

Common Stock

50,000 (2) \$ 11.07

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### **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Bernard Robert J

10201 MAIN STREET HOUSTON, TXÂ 77025

A President and COO South Hill Division

## **Signatures**

/s/ R.E. Stasyszen, Attorney in Fact for Robert J. Bernard

09/02/2010

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On August 30, 2010, the reporting person was granted 20,000 shares of restricted common stock. The shares will vest, on a three-year cliff basis, on August 30, 2013.
  - On August 30, 2010, the reporting person was granted Stock Appreciation Rights (SARs) with respect to a total of 50,000 shares of common stock. The grant price for the SARs is \$11.07 per share of common stock, which was the closing price of the issuer's common
- (2) stock on the grant date. The shares will vest as follows: 25,000 shares on August 30, 2012, 12,500 shares on August 30, 2013, and 12,500 shares on August 30, 2014. They will expire on the earlier of (i) sixty days after termination of employment (one year if termination is due to death, disability or retirement), or (ii) seven years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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