**LUNDY RUSS II** Form 4 April 19, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* LUNDY RUSS II

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

STAGE STORES INC [SSI]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

10201 MAIN STREET

04/08/2013

below) below) EVP, Stores

(Street)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77025

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/08/2013		M	4,500	A	\$ 22.96	32,662	D	
Common Stock	04/08/2013		M	3,000	A	\$ 9.77	35,662	D	
Common Stock	04/08/2013		M	3,000	A	\$ 15.5	38,662	D	
Common Stock	04/08/2013		M	1,450	A	\$ 18.84	40,112	D	
Common Stock	04/08/2013		S	4,500	D	\$ 26.9078	35,612	D	

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Common Stock 04/08/2013 S 7,450 D \$ 26.89 28,162 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	5. Number op Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Stock Appreciation Rights	\$ 22.96	04/08/2013		M	1,250	03/28/2009	03/28/2014	Common Stock	1,250
Stock Appreciation Rights	\$ 22.96	04/08/2013		M	1,625	03/28/2010	03/28/2014	Common Stock	1,62:
Stock Appreciation Rights	\$ 22.96	04/08/2013		M	1,625	03/28/2011	03/28/2014	Common Stock	1,62:
Stock Appreciation Rights	\$ 9.77	04/08/2013		M	3,000	03/27/2013	03/27/2016	Common Stock	3,000
Stock Appreciation Rights	\$ 15.5	04/08/2013		M	3,000	03/26/2013	03/26/2017	Common Stock	3,000
Stock Appreciation Rights	\$ 18.84	04/08/2013		M	1,450	03/29/2013	03/29/2018	Common Stock	1,450

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

LUNDY RUSS II 10201 MAIN STREET HOUSTON, TX 77025

EVP, Stores

## **Signatures**

/s/ R.E. Stasyszen, Attorney in Fact for Russ Lundy II

04/19/2013

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3