

STAGE STORES INC
Form 8-K
September 06, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

September 4, 2018
(Date of Report, Date of Earliest Event Reported)

Stage Stores, Inc.
(Exact Name of Registrant as Specified in Charter)

1-14035
(Commission File Number)
NEVADA 91-1826900
(State or Other Jurisdiction of Incorporation) (I.R.S. Employer Identification No.)

2425 West Loop South, Houston, Texas 77027
(Address of Principal Executive Offices) (Zip Code)

(800) 579-2302
(Registrant's Telephone Number, Including Area Code)

Not Applicable
(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item Departure of Directors or Principal Officers; Election of Directors; Appointment of Certain Officers;
5.02 Compensatory Arrangements of Certain Officers

(e) Compensatory Arrangements of Certain Officers

On September 4, 2018, Stage Stores, Inc. (“we,” “us,” “our” or “registrant”) entered into an Incentive Award Agreement (the “Agreement”) with Jason T. Curtis with respect to additional compensation for his services as our Interim Chief Financial Officer. The Agreement provides that we will pay Mr. Curtis a cash bonus of \$100,000 if Mr. Curtis remains continuously employed by us through March 31, 2020 (the “Vesting Date”). If there is a change of control of the Company (as defined in the Agreement), the cash bonus is also payable in the event that Mr. Curtis’ employment is terminated during the period beginning six (6) months prior to the change of control and ending on the Vesting Date.

Item 9.01 Financial Statements and Exhibits

(d) Exhibits

10.1* Stage Stores Incentive Award Agreement dated September 4, 2018 by and between Stage Stores, Inc. and Jason T. Curtis.

* Filed electronically herewith.

† Management contract or compensatory plan, contract or arrangement.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

STAGE STORES, INC.

Date: September 6, 2018 /s/ Jason T. Curtis

Jason T. Curtis

Senior Vice President,

Interim Chief Financial Officer and Treasurer