

PACCAR INC
Form 4
December 06, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DOZIER C MICHAEL

(Last) (First) (Middle)
777 106TH AVE NE

(Street)

BELLEVUE, WA 98004

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
PACCAR INC [PCAR]

3. Date of Earliest Transaction
(Month/Day/Year)
12/02/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

VICE PRESIDENT

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|-----------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (D) | Price |
| COMMON STOCK (SIP) ⁽¹⁾ | 12/02/2016 | | J ⁽²⁾ | | 67.678 | A | \$ 62.8 |
| | | | | | 17,775.606 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| STOCK OPTION (3) | \$ 45.74 | | | | | 01/01/2011 01/30/2018 | COMMON STOCK | 3,618 |
| STOCK OPTION (3) | \$ 30.81 | | | | | 01/01/2012 02/06/2019 | COMMON STOCK | 5,908 |
| STOCK OPTION (3) | \$ 36.12 | | | | | 01/01/2013 02/02/2020 | COMMON STOCK | 7,440 |
| STOCK OPTION (3) | \$ 50.5 | | | | | 01/01/2014 02/03/2021 | COMMON STOCK | 4,882 |
| STOCK OPTION (3) | \$ 43.24 | | | | | 01/01/2015 02/02/2022 | COMMON STOCK | 7,756 |
| STOCK OPTION (3) | \$ 47.81 | | | | | 01/01/2016 02/06/2023 | COMMON STOCK | 6,644 |
| STOCK OPTION (3) | \$ 59.15 | | | | | 01/01/2017 02/07/2024 | COMMON STOCK | 5,232 |
| STOCK OPTION (3) | \$ 62.46 | | | | | 01/01/2018 02/04/2025 | COMMON STOCK | 4,814 |
| STOCK OPTION (3) | \$ 50 | | | | | 01/01/2019 02/04/2026 | COMMON STOCK | 6,926 |

Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer Other

DOZIER C MICHAEL
777 106TH AVE NE
BELLEVUE, WA 98004

VICE PRESIDENT

Signatures

C. Michael Dozier by Irene E. Song
POA

12/06/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in PACCAR Savings Investment Plan (SIP).
- (2) December 2, 2016 dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- (3) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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