

SJW CORP
Form 4
August 03, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Jensen Palle

(Last) (First) (Middle)
110 W TAYLOR STREET
(Street)

SAN JOSE, CA 95110

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SJW CORP [SJW]

3. Date of Earliest Transaction (Month/Day/Year)
08/02/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

Sr. VP of Regulatory Affairs

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Common Stock | 08/02/2016 | | S | 200 | D \$ 41.06 | 20,399 ⁽¹⁾ | D |
| Common Stock | 08/02/2016 | | S | 200 | D \$ 41.07 | 20,199 ⁽²⁾ | D |
| Common Stock | 08/02/2016 | | S | 120 | D \$ 41.08 | 20,079 ⁽³⁾ | D |
| Common Stock | 08/02/2016 | | S | 300 | D \$ 41.1 | 19,779 ⁽⁴⁾ | D |
| Common Stock | 08/02/2016 | | S | 200 | D \$ 41.11 | 19,579 ⁽⁵⁾ | D |

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| | | | | | | | |
|--------------|------------|---|-----|---|-----------|------------------------|---|
| Common Stock | 08/02/2016 | S | 100 | D | \$ 41.12 | 19,479 ⁽⁶⁾ | D |
| Common Stock | 08/02/2016 | S | 400 | D | \$ 41.13 | 19,079 ⁽⁷⁾ | D |
| Common Stock | 08/02/2016 | S | 100 | D | \$ 41.135 | 18,979 ⁽⁸⁾ | D |
| Common Stock | 08/02/2016 | S | 100 | D | \$ 41.14 | 18,879 ⁽⁹⁾ | D |
| Common Stock | 08/02/2016 | S | 379 | D | \$ 41.16 | 18,500 ⁽¹⁰⁾ | D |
| Common Stock | 08/02/2016 | S | 301 | D | \$ 41.17 | 18,199 ⁽¹¹⁾ | D |
| Common Stock | 08/02/2016 | S | 100 | D | \$ 41.18 | 18,099 ⁽¹²⁾ | D |
| Common Stock | 08/02/2016 | S | 100 | D | \$ 41.19 | 17,999 ⁽¹³⁾ | D |
| Common Stock | 08/02/2016 | S | 200 | D | \$ 41.28 | 17,799 ⁽¹⁴⁾ | D |
| Common Stock | 08/02/2016 | S | 100 | D | \$ 41.31 | 17,699 ⁽¹⁵⁾ | D |
| Common Stock | 08/02/2016 | S | 100 | D | \$ 41.33 | 17,599 ⁽¹⁶⁾ | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Jensen Palle 110 W TAYLOR STREET SAN JOSE, CA 95110 | | | Sr. VP of Regulatory Affairs | |

Signatures

/s/ Suzy Papazian Attorney-in-Fact for Palle Jensen 08/03/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents 14,678 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (2) Represents 14,478 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (3) Represents 14,358 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (4) Represents 14,058 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (5) Represents 13,858 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (6) Represents 13,758 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (7) Represents 13,358 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (8) Represents 13,258 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (9) Represents 13,158 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (10) Represents 12,779 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (11) Represents 12,478 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (12) Represents 12,378 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (13) Represents 12,278 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (14) Represents 12,078 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (15) Represents 11,978 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (16) Represents 11,878 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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