

SJW CORP
Form 4
September 02, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Jensen Palle

(Last) (First) (Middle)
110 W TAYLOR STREET
(Street)

SAN JOSE, CA 95110

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SJW CORP [SJW]

3. Date of Earliest Transaction (Month/Day/Year)
09/01/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Sr. VP of Regulatory Affairs

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	09/01/2016		S		300	D	\$ 41.95
					17,299	(1)	D
Common Stock	09/01/2016		S		200	D	\$ 42
					17,099	(2)	D
Common Stock	09/01/2016		S		100	D	\$ 42.01
					16,999	(3)	D
Common Stock	09/01/2016		S		600	D	\$ 42.02
					16,399	(4)	D
Common Stock	09/01/2016		S		188	D	\$ 42.0281
					16,211	(5)	D

Edgar Filing: SJW CORP - Form 4

Common Stock	09/01/2016	S	900	D	\$ 42.03	15,311 ⁽⁶⁾	D
Common Stock	09/01/2016	S	100	D	\$ 42.04	15,211 ⁽⁷⁾	D
Common Stock	09/01/2016	S	500	D	\$ 42.05	14,711 ⁽⁸⁾	D
Common Stock	09/01/2016	S	200	D	\$ 42.06	14,511 ⁽⁹⁾	D
Common Stock	09/01/2016	S	130	D	\$ 42.075	14,381 ⁽¹⁰⁾	D
Common Stock	09/01/2016	S	220	D	\$ 42.08	14,161 ⁽¹¹⁾	D
Common Stock	09/01/2016	S	50	D	\$ 42.085	14,111 ⁽¹²⁾	D
Common Stock	09/01/2016	S	100	D	\$ 42.1	14,011 ⁽¹³⁾	D
Common Stock	09/01/2016	S	200	D	\$ 42.11	13,811 ⁽¹⁴⁾	D
Common Stock	09/01/2016	S	100	D	\$ 42.13	13,711 ⁽¹⁵⁾	D
Common Stock	09/01/2016	S	100	D	\$ 42.16	13,611 ⁽¹⁶⁾	D
Common Stock	09/01/2016	S	100	D	\$ 42.18	13,511 ⁽¹⁷⁾	D
Common Stock	09/01/2016	S	200	D	\$ 42.22	13,311 ⁽¹⁸⁾	D
Common Stock	09/01/2016	S	152	D	\$ 42.25	13,159 ⁽¹⁹⁾	D
Common Stock	09/01/2016	S	200	D	\$ 42.26	12,959 ⁽²⁰⁾	D
Common Stock	09/01/2016	S	200	D	\$ 42.27	12,759 ⁽²¹⁾	D
Common Stock	09/01/2016	S	60	D	\$ 42.28	12,699 ⁽²²⁾	D
Common Stock	09/01/2016	S	100	D	\$ 42.3	12,599 ⁽²³⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: SJW CORP - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Table with columns: 1. Title of Derivative Security, 2. Conversion or Exercise Price of Derivative Security, 3. Transaction Date, 3A. Deemed Execution Date, if any, 4. Transaction Code, 5. Number of Derivative Securities, 6. Date Exercisable and Expiration Date, 7. Title and Amount of Underlying Securities, 8. Price of Derivative Security, 9. Number of Derivative Securities. Includes sub-columns for V, A, D codes and Date Exercisable/Expiration Date.

Reporting Owners

Table with columns: Reporting Owner Name / Address, Relationships (Director, 10% Owner, Officer, Other). Entry for Jensenalle, Sr. VP of Regulatory Affairs.

Signatures

/s/ Suzy Papazian Attorney-in-Fact for Jensenalle, Date: 09/02/2016

**Signature of Reporting Person, Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1) Represents 11,578 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(2) Represents 11,378 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(3) Represents 11,278 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(4) Represents 10,678 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(5) Represents 10,490 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(6) Represents 9,590 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(7) Represents 9,490 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(8) Represents 8,990 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(9) Represents 8,790 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(10) Represents 8,660 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
(11) Represents 8,440 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.

Edgar Filing: SJW CORP - Form 4

- (12) Represents 8,390 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (13) Represents 8,290 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (14) Represents 8,090 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (15) Represents 7,990 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (16) Represents 7,890 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (17) Represents 7,790 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (18) Represents 7,590 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (19) Represents 7,438 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (20) Represents 7,238 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (21) Represents 7,038 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (22) Represents 6,978 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.
- (23) Represents 6,878 shares of the issuer's common stock and 5,721 shares of the issuer's common stock underlying restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.