

SAFEGUARD SCIENTIFICS INC
Form S-8 POS
October 23, 2015

As filed with the Securities and Exchange Commission on October 23, 2015

Registration No. 333-147174

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

POST-EFFECTIVE AMENDMENT NO. 1 TO
FORM S-8
REGISTRATION STATEMENT
UNDER
THE SECURITIES ACT OF 1933

Safeguard Scientifics, Inc.
(Exact Name of Registrant as Specified in Its Charter)

Pennsylvania
(State or Other Jurisdiction of
Incorporation or Organization)

23-1609753
(I.R.S Employer Identification No.)

170 North Radnor-Chester Road, Suite 200
Radnor, Pennsylvania 19087
(Address of Principal Executive Offices, including Zip Code)

Employment Inducement Awards
Consisting of Non-Qualified Stock Options
Granted to Brian J. Sisko
(Full Title of the Plan)

Brian J. Sisko
Chief Operating Officer, Executive Vice President and Managing Director
Safeguard Scientifics, Inc.
170 North Radnor-Chester Road, Suite 200
Radnor, PA 19087
(610) 293-0600
(Name, Address and Telephone Number, including Area Code, of Agent for Service)

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definition of "large accelerated filer," "accelerated filer" and "smaller reporting company" in Rule 12b-2 of the Exchange Act. (Check one):

Edgar Filing: SAFEGUARD SCIENTIFICS INC - Form S-8 POS

Large accelerated filer ☐

Accelerated filer ☐

Non-accelerated filer ☐

Smaller reporting company ☐

(Do not check if a smaller
reporting company)

DEREGISTRATION OF SECURITIES

This Post-Effective Amendment No. 1 to the Form S-8 Registration Statement (Registration No. 333-147174) is being filed to deregister 90,556 shares of Common Stock that were subject to stock options issued as employment inducement grants to Brian J. Sisko on August 20, 2007. These stock options have expired unexercised, and the shares have not been issued and are no longer subject to outstanding awards.

SIGNATURES

Pursuant to the requirements of the Securities Act of 1933, the Registrant certifies that it has reasonable grounds to believe that it meets all of the requirements for filing on Form S-8 and has duly caused this registration statement to be signed on its behalf by the undersigned, thereunto duly authorized, in Wayne, PA on October 23, 2015.

SAFEGUARD SCIENTIFICS, INC.

By: /s/ Stephen T. Zarrilli
 Stephen T. Zarrilli
 President and Chief Executive Officer

Edgar Filing: SAFEGUARD SCIENTIFICS INC - Form S-8 POS

Pursuant to the requirements of the Securities Act of 1933, this Post-Effective Amendment No. 1 to the Registration Statement has been signed by the following persons in the capacities and on the dates indicated.

Signature	Title	Date
/s/ Stephen T. Zarrilli Stephen T. Zarrilli	President, Chief Executive Officer and Director (principal executive officer)	October 23, 2015
/s/ Jeffrey B. McGroarty Jeffrey B. McGroarty	Senior Vice President and Chief Financial Officer (principal financial and accounting officer)	October 23, 2015
/s/ Mara G. Aspinall Mara G. Aspinall	Director	October 23, 2015
/s/ Julie A. Dobson Julie A. Dobson	Director	October 23, 2015
/s/ Stephen Fisher Stephen Fisher	Director	October 23, 2015
/s/ Andrew E. Lietz Andrew E. Lietz	Chairman of the Board of Directors	October 23, 2015
/s/ George MacKenzie George MacKenzie	Director	October 23, 2015
/s/ Jack L. Messman Jack L. Messman	Director	October 23, 2015
/s/ John J. Roberts John J. Roberts	Director	October 23, 2015
/s/ Robert J. Rosenthal Robert J. Rosenthal	Director	October 23, 2015