

Edgar Filing: GRANT JOHN A JR - Form 5

GRANT JOHN A JR
Form 5
February 14, 2003

OMB APPROVAL

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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

- ☒ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).
☐ Form 3 Holdings Reported
☐ Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Grant, Jr.	John	A.
-----	-----	-----
(Last)	(First)	(Middle)

10025 Orange Grove Drive

(Street)

Tampa	FL	33618
-----	-----	-----
(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Liquidmetal Technologies (LQMT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

December 31, 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☐ Director	☐ 10% Owner
☐ Officer (give title below)	☒ Other (specify below)

Former Officer (Director of Government Relations)

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7. Individual or Joint/Group Filing
(Check applicable line)

☒ Form filed by one Reporting Person
☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	2A. Deemed Execu- tion Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) Amount	(D) or Price
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Liquidmetal Technologies
Common Stock

Liquidmetal Technologies
Common Stock

Liquidmetal Technologies
Common Stock

* If the form is filed by more than one Reporting Person, see Instruction 4(b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)

(Form 3/99)

FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	3A. Ex- Deemed ecution Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amou of Underlying Securities (Instr. 3 and ----- Amount or Number of Shares			

Option to purchase common stock	\$12.40					08/01/01 08/01/12	Liquidmetal Technologies Common Stock 80,645			
Option to purchase common stock	\$12.40					08/01/02 08/01/12	Liquidmetal Technologies Common Stock 16,129			
Option to purchase common stock	\$12.40					08/01/03 08/01/12	Liquidmetal Technologies Common Stock 16,129			
Option to purchase common stock	\$12.40					08/01/04 08/01/12	Liquidmetal Technologies Common Stock 16,129			
Option to purchase common stock	\$12.40					08/01/05 08/01/12	Liquidmetal Technologies Common Stock 16,129			
Option to purchase common stock	\$12.40					08/01/06 08/01/12	Liquidmetal Technologies Common Stock 16,129			

Explanation of Responses:

This Form 5 does not reflect any new transactions and is being filed solely for informational purposes to disclose aggregate holdings as of 12/31/02.

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/s/ John A. Grant, Jr.

February 14, 2003

JOHN A. GRANT, JR.

Date

*** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.