

Edgar Filing: TJOA SONG THIAN - Form 5

TJOA SONG THIAN
Form 5
February 14, 2003

OMB APPROVAL

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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

- ☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).
☐ Form 3 Holdings Reported
☒ Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Tjoa	Song	Thian
-----	-----	-----
(Last)	(First)	(Middle)
61 Branksome Road		

(Street)		
Singapore		439592
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(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Liquidmetal Technologies (LQMT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

December 31, 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director	☒ 10% Owner
☐ Officer (give title below)	☐ Other (specify below)

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7. Individual or Joint/Group Filing
(Check applicable line)

☒ Form filed by one Reporting Person
☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	2A. Deemed Execu- tion Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Amount	(A) or (D)	Price
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Liquidmetal Technologies
Common Stock

J(1)

3,685,942

D

* If the form is filed by more than one Reporting Person, see Instruction
4(b)(v).

1) Reflects change in form of beneficial ownership as a result of transfer of
3,685,942 shares to revocable grantor trust for benefit of reporting person and
family members. Reporting person continues to beneficially own all such shares,
and therefore the transaction does not result in a change in number of shares
beneficially owned.

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

(Over)

(Form 3/99)

FORM 5 (continued)

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	3A. Ex- ecution Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and ----- Amount or Number of Shares			
Warrant to purchase common stock	\$4.65					02/21/01 12/31/05	Liquidmetal Technologies Common Stock 322,58			
Option to purchase common stock	\$1.55					02/07/97 02/28/03	Liquidmetal Technologies Common Stock 322,58			
Option to purchase common stock	\$9.955	01/02/03		A4	10,000	* 01/02/13	Liquidmetal Technologies Common Stock 10,00			

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Explanation of Responses:

* 2,000 shares exercisable on each of January 2, 2004, 2005, 2006, 2007, and 2008.

/s/ Curt P. Creely	February 14, 2003
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CURT P. CREELY AS ATTORNEY IN FACT FOR TJOA THIAN SONG	Date

** Intentional misstatements or omissions of facts constitute Federal Criminal

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Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.