

Edgar Filing: TCHEN HENRI - Form 4

TCHEN HENRI
Form 4
February 27, 2003

OMB APPROVAL

OMB Number 3235-0287
Expires: January 31, 2005
Estimated average burden
hours per response 0.5

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject of Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Tchen

Henri

(Last)

(First)

(Middle)

17150 Newhope St., Suite 503

(Street)

Fountain Valley

CA

92708

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Liquidmetal Technologies (LQMT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

February 25, 2003

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director
 ☐ 10% Owner
☐ Officer (give title below)
 ☐ Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form filed by one Reporting Person
☐ Form filed by more than one Reporting Person

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Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
		Code	V	Amount	(A) or (D)	Price
Liquidmetal Technologies Common Stock	02/25/03	M		55	A	\$5.55
Liquidmetal Technologies Common Stock	02/25/03	M		1,600	A	\$5.61
Liquidmetal Technologies Common Stock	02/25/03	M		800	A	\$5.62
Liquidmetal Technologies Common Stock	02/25/03	M		1,900	A	\$5.63
Liquidmetal Technologies Common Stock	02/25/03	M		16,700	A	\$5.65
Liquidmetal Technologies Common Stock	02/25/03	M		500	A	\$5.66

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Liquidmetal Technologies	02/25/03	M	600	A	\$5.67
Common Stock					

Liquidmetal Technologies	02/25/03	M	300	A	\$5.679
Common Stock					

* If the Form is filed by more than one Reporting Person, see Instruction 4(b) (v) .

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

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(Check all applicable)

☒ Director

☐ 10% Owner

☐ Officer (give title below)

☐ Other (specify below)

7. Individual or Joint/Group Filing (Check applicable line)

☒ Form filed by one Reporting Person

☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8) ----- Code V	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- Amount or Price (A) or (D)
Liquidmetal Technologies Common Stock	02/25/03	M	2,500 A \$5.68

Liquidmetal Technologies

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Common Stock	02/25/03	M	18,000	A	\$5.69

Liquidmetal Technologies Common Stock	02/25/03	M	100	A	\$5.699

Liquidmetal Technologies Common Stock	02/25/03	M	12,600	A	\$5.70

Liquidmetal Technologies Common Stock	02/25/03	M	700	A	\$5.71

Liquidmetal Technologies Common Stock	02/25/03	M	345	A	\$5.72

Liquidmetal Technologies Common Stock	02/25/03	M	700	A	\$5.73

Liquidmetal Technologies Common Stock	02/25/03	M	200	A	\$5.74

Liquidmetal Technologies Common Stock	02/26/03	M	2,400	A	\$6.08

Liquidmetal Technologies Common Stock	02/27/03	M	2,500	A	\$6.14
=====					

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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5.

7.

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1. Title of Derivative Security (Instr. 3)	2. Exercise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Exer- tion cisable Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares

Explanation of Responses:

/s/ Curt P. Creely

February 27, 2003

CURT P. CREELY AS ATTORNEY IN FACT FOR
HENRI TCHEN

Date _____

** Intentional misstatements or omissions of facts constitute Federal
 Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a) .

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

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