

Smith Hudson  
Form 4  
December 18, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Smith Hudson

2. Issuer Name and Ticker or Trading Symbol  
SIFCO INDUSTRIES INC [SIF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
970 E. 64TH STREET  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/17/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

CLEVELAND, OH 44103

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Voting Trust Certificates (1)	12/17/2018		G	V	6,000 (2)	D	\$ 0 60,820
SIFCO Industries, Inc. Common Stock	12/17/2018		P		2,000 A \$ 3.4484	I	4,400 Owned by Spouse
SIFCO Industries, Inc.						D	17,464

Common Stock				
Voting Trust Certificates <u>(3)</u>	57,068	I		Beneficiary of Trust
Voting Trust Certificates <u>(4)</u>	121,678	I		Beneficiary of Trust
Voting Trust Certificates	6,255	I		Owned by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Smith Hudson 970 E. 64TH STREET CLEVELAND, OH 44103		X		

## Signatures

/s/ Elizabeth Button - by power of  
attorney

12/18/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Voting Trust Certificates are for common stock of SIFCO Industries, Inc.

Represents six gifts of Voting Trust Certificates each representing 1,000 shares of common stock of SIFCO Industries, Inc. to the

(2) Reporting Person's grandchildren, as follows: (i) Hudson Smith, Jr., Custodian for Peyton Smith; (ii) Hudson Smith, Jr., Custodian for Charlotte Smith; (iii) Hudson Smith, Jr., Custodian for Whitney Smith; (iv) Cynthia R. Champ, Custodian for Elise Day Champ; (v) Cynthia R. Champ, Custodian for William Champ, Jr.; and (vi) Cynthia R. Champ, Custodian for Hudson Graham Champ.

(3) Held in trust by Trustee of the Charles H. Smith, Jr. Irrevocable Trust.

(4) Held in Trust by the Trustee of the R. Smith Irrevocable Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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