#### LAMPEN RICHARD

Form 4

February 27, 2019

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

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subject to Section 16.

if no longer

Check this box

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* LAMPEN RICHARD

2. Issuer Name and Ticker or Trading Symbol

VECTOR GROUP LTD [VGR]

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Last)

(First)

C/O VECTOR GROUP LTD., 4400

(Street)

(Middle)

3. Date of Earliest Transaction

10% Owner

(Month/Day/Year)

02/27/2019

Director X\_ Officer (give title below)

Other (specify below)

**EVP** 

BISCAYNE BLVD: 10TH FLOOR

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person

(T)

(Instr. 4)

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting Person

**MIAMI, FL 33137** 

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

(Month/Day/Year)

2. Transaction Date 2A. Deemed Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially

Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial

Ownership (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4)

Following

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount Underlying Securiti (Instr. 3 and 4)

#### Edgar Filing: LAMPEN RICHARD - Form 4

(Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) Derivative or Disposed of Security (D)

(Instr. 3, 4, and 5)

Code V (A)

(D) Date Exercisable Expiration Date

Title

Amou

or Numb of Sha

Employee

Stock Option (right to

buy)

\$11.46 02/27/2019

62,500 A

02/27/2023(1) 02/27/2029

Common

62,5 Stock

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

LAMPEN RICHARD C/O VECTOR GROUP LTD. 4400 BISCAYNE BLVD; 10TH FLOOR **MIAMI, FL 33137** 

**EVP** 

# **Signatures**

/s/ J. Bryant Kirkland III, Attorney-In-Fact

02/27/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Subject to acceleration upon a "change of control" (as defined) or termination of employment due to death or disability.

#### **Remarks:**

Exhibit 24 - Power of Attorney (previously filed as Exhibit 24 to Form 5 dated February 5, 2015.)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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