

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

SWISS HELVETIA FUND INC

Form NSAR-B

March 01, 2002

PAGE 1  
000 B000000 12/31/2001  
000 C000000 0000813623  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE SWISS HELVETIA FUND, INC.  
001 B000000 811-5128  
001 C000000 2123322760  
002 A000000 1270 AVENUE OF THE AMERICAS, SUITE 400  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10020  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 HOTTINGER CAPITAL CORP.  
008 B000001 A  
008 C000001 801-30025  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10020  
010 A000001 INVESTMENT COMPANY CAPITAL CORP.  
010 B000001 8-194  
010 C010001 BALTIMORE  
010 C020001 MD  
010 C030001 21202  
011 A000001 DELETE  
012 A000001 PFPC, INC.  
012 B000001 85-11196  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 DELOITTE & TOUCHE, LLP  
PAGE 2  
013 B010001 PRINCETON  
013 B020001 NJ  
013 B030001 08540  
014 A000001 HOTTINGER AND CIE  
014 B000001 8-xxxxxxx  
015 A000001 SWISS AMERICAN SECURITIES, INC.

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015 B000001 C  
 015 C010001 NEW YORK  
 015 C020001 NY  
 015 C030001 10017  
 015 E040001 X  
 018 000000 Y  
 019 A000000 N  
 019 B000000 0  
 020 A000001 LOMBARD ODIER  
 020 C000001 92  
 020 A000002 VONTOBEL SECURITIES, LTD.  
 020 C000002 89  
 020 A000003 PICTET OVERSEAS, INC.  
 020 C000003 76  
 020 A000004 UNION BANK OF SWITZERLAND  
 020 C000004 74  
 020 A000005 HOTTINGER & CIE  
 020 C000005 42  
 020 A000006 AURBACH GRAYSON  
 020 C000006 35  
 020 A000007 DEUTSCHE BANK SEC CORP.  
 020 C000007 16  
 020 A000008 DEUTSCHE MORGAN GRENFELL  
 020 C000008 15  
 020 A000009 BEAR (JULUIS) SECURITIES, INC.  
 020 C000009 12  
 020 A000010 OPPENHEIMER & CO.  
 020 C000010 9  
 021 000000 472  
 022 C000001 0  
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 022 A000002 VONTOBEL SECURITIES, INC.  
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 025 A000002 JULIUS BAER HOLDINGS LTD.  
 025 B000002 13-6174048  
 025 C000002 E  
 025 D000002 1856  
 025 A000003 UBS AG

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|     |         |                        |       |
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| 025 | C000003 | E                      |       |
| 025 | D000003 |                        | 21965 |
| 025 | A000004 | VONTOBEL HOLDINGS LTD. |       |
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| 031 | B000000 |                        | 0     |
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| 042 | A000000 |                        | 0     |
| 042 | B000000 |                        | 0     |
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 042 F000000 0  
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 060 B000000 N

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062 N000000 0.0

PAGE 6

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070 K020000 N  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 Y  
070 N010000 Y  
070 N020000 Y  
070 O010000 N

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| 070   | P010000 | N  |        |
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|       | PAGE    | 7  |        |
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| 072   | M000000 |    | 361    |
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| 072   | P000000 |    | 0      |
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| 072   | R000000 |    | 32     |
| 072   | S000000 |    | 742    |
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| 072   | X000000 |    | 4740   |
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| 072   | Z000000 |    | -794   |
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| 072DD | 020000  |    | 0      |
| 072EE | 000000  |    | 7361   |
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| 074   | K000000 |    | 0      |
| 074   | L000000 |    | 4106   |

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|     |         |                              |
|-----|---------|------------------------------|
| 074 | M000000 | 1                            |
| 074 | N000000 | 316135                       |
| 074 | O000000 | 1268                         |
| 074 | P000000 | 0                            |
| 074 | Q000000 | 0                            |
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| 074 | R030000 | 0                            |
| 074 | R040000 | 431                          |
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| 074 | T000000 | 314436                       |
| 074 | U010000 | 23893                        |
| 074 | U020000 | 0                            |
| 074 | V010000 | 13.16                        |
| 074 | V020000 | 0.00                         |
| 074 | W000000 | 0.0000                       |
| 074 | X000000 | 1067                         |
| 074 | Y000000 | 0                            |
| 075 | A000000 | 0                            |
| 075 | B000000 | 341806                       |
| 076 | 000000  | 11.00                        |
| 077 | A000000 | Y                            |
| 077 | B000000 | Y                            |
| 078 | 000000  | N                            |
| 080 | A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 | C000000 | 750000                       |
| 081 | A000000 | N                            |
| 081 | B000000 | 0                            |
| 082 | A000000 | Y                            |
| 082 | B000000 | 25                           |
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| 083 | B000000 | 0                            |
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| 084 | B000000 | 0                            |
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| 086 | C020000 | 0                            |
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| 086 | D020000 | 0                            |
| 086 | E010000 | 0                            |
| 086 | E020000 | 0                            |
| 086 | F010000 | 0                            |

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|           |                |                           |
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| 086       | F020000        | 0                         |
| 087       | A010000        | SWISS HELVETIA FUND, INC. |
| 087       | A020000        | 870875101                 |
| 087       | A030000        | SWZ                       |
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| 088       | B000000        | N                         |
| 088       | C000000        | N                         |
| 088       | D000000        | N                         |
| SIGNATURE | CHARLES RIZZO  |                           |
| TITLE     | VICE PRESIDENT |                           |