Abernathey Andrew J. Form 4 December 04, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

\_X\_\_ 10% Owner

\_ Other (specify

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

(Middle)

Abernathey Andrew J.

2. Issuer Name and Ticker or Trading

Symbol

NATIONAL SECURITY GROUP

INC [NSEC]

3. Date of Earliest Transaction

(Month/Day/Year) 10/23/2017

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

819 30TH AVENUE SOUTH, SUITE 206A

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

Officer (give title

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

MOORHEAD, MN 56560

	(City)	(State)	(Zip) Tabl	le I - Non-l	Derivative	Secu	rities Acc	quired, Disposed	of, or Benefic	ially Owned
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitor(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Common Stock							289,591	I	By Meridian Investments I, LLC (1)
	Common Stock	10/23/2017		P	20	A	\$ 12.9 (2)	20	I	By Capital Value Fund I, LLC (3)
	Common Stock	10/24/2017		S	20	D	\$ 12.78	0	I	By Capital Value Fund I, LLC (3)
	Common	10/23/2017		P	6	A	\$ 12.9	6	I	By Capital

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Stock					<u>(4)</u>			Value Fund II, LLC (5)
Common Stock	10/24/2017	S	6	D	\$ 12.78	0	I	By Capital Value Fund II, LLC (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transacti Code	5. orNumber of	6. Date Exerc Expiration D (Month/Day/	ate	7. Title Amou	nt of	8. Price of Derivative Security	9. Nu Deriv Secur
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)		`	i cai j	Securi	• •	(Instr. 5)	Bene
(111511.0)	Derivative		(=====================================	(11511.0)	Securities				3 and 4)	(	Owne
	Security				Acquired				,		Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
				Code V	(A) (D)				of Shares		

Dolotionchine

# **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips					
	Director	10% Owner	Officer	Other		
Abernathey Andrew J. 819 30TH AVENUE SOUTH SUITE 206A MOORHEAD, MN 56560		X				
Meridian Investments I, LLC C/O ANDREW J. ABERNATHEY 819 30TH AVENUE SOUTH, SUITE 206A MOORHEAD, MN 56560		X				

## **Signatures**

/s/ Andrew J. Abernathey 12/04/2017

\*\*Signature of Reporting Person

Reporting Owners 2

Date

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/s/ Andrew J. Abernathey as President of Meridian Investments I, LLC

12/04/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Andrew Abernathey is the President and sole director of Meridian Investments I, LLC and holds sole voting and dispositive power over the securities held by Meridian Investments I, LLC.
- The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$12.85 to \$12.95, inclusive. The reporting person undertakes to provide National Security Group, Inc., any security holder of National Security Group, Inc., or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.
- (3) Andrew Abernathey is the President and a director of Capital Value Fund I, LLC.
- The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$12.85 to \$12.95, inclusive. The reporting person undertakes to provide National Security Group, Inc., any security holder of National Security Group, Inc., or the staff of the Securities and Exchange Commission upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.
- (5) Andrew Abernathey is the President and a director of Capital Value Fund II, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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