Edgar Filing: CASTLE A M & CO - Form 4

CASTLE A F Form 4 November 07									
FORM	́л	UNITED STATES SECURITIES AND EXCHANGE COMMISSION							
Check thi if no long subject to Section 10 Form 4 or Form 5 obligatior may conti <i>See</i> Instru 1(b).	er STATEM 6. Filed pur inue. Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section						Number: January 31, Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type R	Responses)								
TRAUB KENNETH H Symbol			Issuer Name and ibol STLE A M &			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (N		3. Date of Earliest Transaction			••			
1420 KENSINGTON ROAD 11/04 (Street) 4. If An			nth/Day/Year) 04/2016			X_ Director10% Owner Officer (give titleOther (specify below)Other (specify			
			Amendment, Da d(Month/Day/Year	-		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State)	(Zip)	Table I - Non-D	erivative S	ecurities Ac	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. te, if Transactio Code Vear) (Instr. 8)	4. Securit on(A) or Dis (D) (Instr. 3, 4	ies Acquired sposed of 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock, \$0.01 par value	11/04/2016		Code V D	Amount 53,990 (1)	(D) Price D \$0	18,888	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Addre	ess	Relationships						
	Director	10% Owner	Officer	Other				
TRAUB KENNETH H 1420 KENSINGTON ROAL OAK BROOK, IL 60523	D X							
Signatures								
/s/ Kenneth H. Traub	11/07/2016							
**Signature of Reporting Person	Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects forfeiture of restricted stock in connection with Reporting Person's resignation as a member of the Board of Directors of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.