

UNITED TECHNOLOGIES CORP /DE/  
Form 4  
October 19, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**CHENEVERT LOUIS**

(Last) (First) (Middle)

**UNITED TECHNOLOGIES CORPORATION, ONE FINANCIAL PLAZA**

(Street)

**HARTFORD, CT 06101**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**UNITED TECHNOLOGIES CORP /DE/ [UTX]**

3. Date of Earliest Transaction (Month/Day/Year)  
**10/17/2006**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**President and COO**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |  |
| Common Stock                    | 10/17/2006                           |  | M                              |   | 23,000 A \$ 18.2812   | 91,029   | D  |
| Common Stock                    | 10/17/2006                           |  | F                              |   | 6,441 D \$ 65.28  | 84,588   | D  |
| Common Stock                    | 10/17/2006                           |  | F                              |   | 6,877 D \$ 65.28  | 77,711   | D  |
| Common Stock                    | 10/17/2006                           |  | M                              |   | 30,000 A \$ 36.2187   | 107,711  | D  |
|                                 | 10/17/2006                           |  | F                              |   | 16,645 D \$ 65.28   | 91,066   | D  |

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|              |            |  |   |       |   |          |                       |   |                         |
|--------------|------------|--|---|-------|---|----------|-----------------------|---|-------------------------|
| Common Stock |            |  |   |       |   |          |                       |   |                         |
| Common Stock | 10/17/2006 |  | F | 5,547 | D | \$ 65.28 | 85,519                |   | D                       |
| Common Stock | 10/18/2006 |  | S | 1,600 | D | \$ 65.16 | 83,919                |   | D                       |
| Common Stock | 10/18/2006 |  | S | 800   | D | \$ 65.17 | 83,119                |   | D                       |
| Common Stock | 10/18/2006 |  | S | 100   | D | \$ 65.18 | 83,019                |   | D                       |
| Common Stock | 10/18/2006 |  | S | 2,700 | D | \$ 65.21 | 80,319                |   | D                       |
| Common Stock | 10/18/2006 |  | S | 300   | D | \$ 65.22 | 80,019                |   | D                       |
| Common Stock | 10/18/2006 |  | S | 290   | D | \$ 65.24 | 79,729                |   | D                       |
| Common Stock | 10/18/2006 |  | S | 1,100 | D | \$ 65.25 | 78,629                |   | D                       |
| Common Stock | 10/18/2006 |  | S | 2,300 | D | \$ 65.27 | 76,329                |   | D                       |
| Common Stock | 10/18/2006 |  | S | 1,300 | D | \$ 65.28 | 75,029 <sup>(1)</sup> |   | D                       |
| Common Stock |            |  |   |       |   |          | 2,834.495             | I | By Savings Plan Trustee |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |       |       |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|-------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title | Am or |

| Option Type                               | Exercise Price | Grant Date | Expiration Date | Exercise Type | Shares | Start Date | End Date   | Stock Type   | Shares |
|---|----------------|------------|-----------------|---------------|--------|------------|------------|--------------|--------|
| Non-Qualified Stock Option (right to buy) | \$ 18.2812     | 10/17/2006 |                 | M             | 23,000 | 01/02/2001 | 01/01/2008 | Common Stock | 23,000 |
| Non-Qualified Stock Option (right to buy) | \$ 36.2187     | 10/17/2006 |                 | M             | 30,000 | 04/30/2002 | 04/29/2009 | Common Stock | 30,000 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                   |       |
|---|---------------|-----------|-------------------|-------|
|   | Director      | 10% Owner | Officer           | Other |
| CHENEVERT LOUIS<br>UNITED TECHNOLOGIES CORPORATION<br>ONE FINANCIAL PLAZA<br>HARTFORD, CT 06101 | X             |           | President and COO |       |

## Signatures

By: /s/ Charles F. Hildebrand as Attorney-in-Fact  
Date: 10/19/2006

Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person also directly owns 2,400 shares of United Technologies Career Restricted Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.