Adaptimmune Therapeutics PLC Form SC 13G/A February 14, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549
SCHEDULE 13G
Under the Securities Exchange Act of 1934
(Amendment No. 1)*
Adaptimmune Therapeutics Plc
(Name of Issuer)
Ordinary Shares, £.001 par value per share
(Title of Class of Securities)
00653A107
(CUSIP Number)
December 31, 2016
(Date of Event Which Requires Filing of this Statement)
Check the appropriate box to designate the rule pursuant to which this Schedule is filed:
Rule 13d-1(b) Rule 13d-1(c) Rule 13d-1(d)

<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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1	NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY) New Enterprise Associates 14, L.P.
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) (b) SEC USE ONLY
4	CITIZENSHIP OR PLACE OF ORGANIZATION Cayman Islands exempted limited partnership
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	SOLE VOTING POWER  5 0 shares  SHARED VOTING POWER  6 59,269,000 shares  SOLE DISPOSITIVE POWER  7 0 shares  SHARED DISPOSITIVE POWER  8 59,269,000 shares
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 59,269,000 shares
10	CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
	14.0%
12	TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)
	PN

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NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF **ABOVE PERSONS (ENTITIES** 1 ONLY) NEA Partners 14, L.P. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP 2 (a) (b) SEC USE ONLY 3 CITIZENSHIP OR PLACE OF **ORGANIZATION** 4 Cayman Islands exempted limited partnership SOLE VOTING POWER 5 0 shares NUMBER OF **SHARES** SHARED VOTING POWER **BENEFICIALLY** OWNED BY 59,269,000 shares **EACH** REPORTING SOLE DISPOSITIVE POWER PERSON WITH 0 shares SHARED DISPOSITIVE POWER 8 59,269,000 shares AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 9 REPORTING PERSON 59,269,000 shares CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE 10 INSTRUCTIONS)

11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
	14.0%
12	TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)
	PN

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NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF **ABOVE PERSONS (ENTITIES** 1 ONLY) NEA 14 GP, LTD CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP 2 (a) (b) SEC USE ONLY 3 CITIZENSHIP OR PLACE OF **ORGANIZATION** 4 Cayman Islands exempted company **SOLE VOTING POWER** 5 0 shares NUMBER OF **SHARES** SHARED VOTING POWER **BENEFICIALLY** OWNED BY 59,269,000 shares **EACH** REPORTING SOLE DISPOSITIVE POWER PERSON WITH 0 shares SHARED DISPOSITIVE POWER 8 59,269,000 shares AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 9 REPORTING PERSON 59,269,000 shares CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE 10 INSTRUCTIONS)

	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
	14.0%
12	TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)
	CO

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	NAMES OF REPORTING PERSONS
1	I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)
	M. James Barrett
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a)
	(b)
2	SEC USE ONLY
3	
4	CITIZENSHIP OR PLACE OF ORGANIZATION
	United States
NUMBER OF SHARES BENEFICIALLY	SOLE VOTING POWER  5 0 shares  SHARED VOTING POWER  6
OWNED BY EACH REPORTING	59,269,000 shares
PERSON WITH	SOLE DISPOSITIVE POWER 7
	0 shares
	SHARED DISPOSITIVE POWER 8
	59,269,000 shares
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
	59,269,000 shares
10	CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

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	NAMES OF REPORTING PERSONS
1	I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)
	Peter J. Barris
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a)
	(b)
3	SEC USE ONLY
3	
4	CITIZENSHIP OR PLACE OF ORGANIZATION
	United States
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	SOLE VOTING POWER  5 0 shares  SHARED VOTING POWER  6 59,269,000 shares
	SOLE DISPOSITIVE POWER 7 0 shares
	SHARED DISPOSITIVE POWER 8 59,269,000 shares
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
	59,269,000 shares
10	CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
	11 10 1110 0110110)

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1	NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) (b) SEC USE ONLY
4	CITIZENSHIP OR PLACE OF ORGANIZATION United States
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	SOLE VOTING POWER  5 0 shares  SHARED VOTING POWER  6 59,269,000 shares  SOLE DISPOSITIVE POWER  7 0 shares  SHARED DISPOSITIVE POWER  8 50,260,000 shares
9	59,269,000 shares  AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  59,269,000 shares  CHECK IF THE AGGREGATE
10	AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

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1	NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)
	Anthony A. Florence, Jr.
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) (b)
3	SEC USE ONLY
3	
4	CITIZENSHIP OR PLACE OF ORGANIZATION
	United States
NUMBER OF SHARES BENEFICIALLY OWNED BY	SOLE VOTING POWER  5 0 shares
	SHARED VOTING POWER 6 59,269,000 shares
EACH REPORTING	
PERSON WITH	SOLE DISPOSITIVE POWER 7
	0 shares
	SHARED DISPOSITIVE POWER 8 59,269,000 shares
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
	59,269,000 shares
10	CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

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1	NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY) Patrick J. Kerins
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) (b) SEC USE ONLY
4	CITIZENSHIP OR PLACE OF ORGANIZATION United States citizen
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	SOLE VOTING POWER  5 0 shares  SHARED VOTING POWER  6 59,269,000 shares  SOLE DISPOSITIVE POWER  7 0 shares  SHARED DISPOSITIVE POWER  8 59,269,000 shares
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 59,269,000 shares
10	CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

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1	NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY) David M. Mott
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (a) (b) SEC USE ONLY
3	
4	CITIZENSHIP OR PLACE OF ORGANIZATION United States
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	SOLE VOTING POWER  5 163,229  SHARED VOTING POWER  6 59,269,000 shares  SOLE DISPOSITIVE POWER  7 163,229  SHARED DISPOSITIVE POWER  8 59,269,000 shares
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 59,432,229 shares CHECK IF THE AGGREGATE
10	AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

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	NAMES OF REPORTING PERSONS
1	I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)
	Scott D. Sandell
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP
	(a)
	(b)
3	SEC USE ONLY
4	CITIZENSHIP OR PLACE OF ORGANIZATION
	United States
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	SOLE VOTING POWER  5 0 shares
	SHARED VOTING POWER 6 59,269,000 shares
	SOLE DISPOSITIVE POWER
	0 shares
	SHARED DISPOSITIVE POWER  8
	59,269,000 shares
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH
	REPORTING PERSON 59,269,000 shares
10	CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

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1	NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY) Peter W. Sonsini
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP  (a)  (b)  SEC USE ONLY
4	CITIZENSHIP OR PLACE OF ORGANIZATION United States
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	SOLE VOTING POWER  5 0 shares  SHARED VOTING POWER  59,269,000 shares  SOLE DISPOSITIVE POWER  0 shares  SHARED DISPOSITIVE POWER  59,269,000 shares
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 59,269,000 shares
10	CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)

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1	NAMES OF REPORTING PERSONS I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)	
	Ravi Viswanathan  CHECK THE APPROPRIATE BOX	
2	IF A MEMBER OF A GROUP (a) (b)	
3	SEC USE ONLY	
4	CITIZENSHIP OR PLACE OF ORGANIZATION	
	United States	
NUMBER OF	SOLE VOTING POWER  5 0 shares	
SHARES BENEFICIALLY OWNED BY EACH	SHARED VOTING POWER 6 59,269,000 shares	
REPORTING PERSON WITH	SOLE DISPOSITIVE POWER 7 0 shares	
	SHARED DISPOSITIVE POWER 8 59,269,000 shares	
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
	59,269,000 shares	
10	CHECK IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)	

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Item 1(a). Name of Issuer:

Adaptimmune Therapeutics Plc (the "Issuer").

Item 1(b). Address of Issuer's Principal Executive Offices:

101 Park Drive, Milton Park, Abingdon, Oxfordshire OX14 4RY, United Kingdom.

### Item 2(a). Names of Persons Filing:

This statement is being filed by New Enterprise Associates 14 L.P. ("NEA 14"); NEA Partners 14, L.P. ("NEA Partners 14"), which is the sole general partner of NEA 14; NEA 14 GP, LTD ("NEA 14 GP"), which is the sole general partner of NEA Partners 14; and M. James Barrett ("Barrett"), Peter J. Barris ("Barris"), Forest Baskett ("Baskett"), Anthony A. Florence, Jr. ("Florence"), Patrick J. Kerins ("Kerins"), David M. Mott ("Mott"), Scott D. Sandell ("Sandell"), Peter W. Sonsini ("Sonsini") and Ravi Viswanathan ("Viswanathan") (collectively, the "Directors") and Krishna S. Kolluri ("Kolluri") and Harry R. Weller ("Weller"). The Directors are the individual directors of NEA 14 GP. NEA 14, NEA Partners 14, NEA 14 GP and the Directors are sometimes referred to collectively herein as the "Reporting Persons".

### Item 2(b). Address of Principal Business Office or, if None, Residence:

The address of the principal business office of NEA 14, NEA Partners 14 and NEA 14 GP is New Enterprise Associates, 1954 Greenspring Drive, Suite 600, Timonium, MD 21093. The address of the principal business office of Baskett, Sandell, Sonsini and Viswanathan is New Enterprise Associates, 2855 Sand Hill Road, Menlo Park, California 94025. The address of the principal business office of Barrett, Barris, Florence, Kerins and Mott is New Enterprise Associates, 5425 Wisconsin Avenue, Suite 800, Chevy Chase, MD 20815.

#### Item 2(c). Citizenship:

Each of NEA 14 and NEA Partners 14 is a Cayman Islands exempted limited partnership. NEA 14 GP is a Cayman Islands exempted company. Each of the Directors is a United States citizen.

### Item 2(d). <u>Title of Class of Securities</u>:

Ordinary Shares, £.001 par value ("Ordinary Shares").

#### Item 2(e). CUSIP Number:

00653A107.

Item 3. If this statement is filed pursuant to §§ 240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Not applicable.

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#### Item 4. Ownership.

Amount Beneficially Owned: NEA 14 is the record owner of 9,878,167 American Depositary Shares of the Issuer, which represent 59,269,000 Ordinary Shares of the Issuer, as of December 31, 2016 (the "Shares"). As the sole (a) general partner of NEA 14, NEA Partners 14 may be deemed to own beneficially the Shares. As the sole general partner of NEA Partners 14, NEA 14 GP likewise may be deemed to own beneficially the Shares. As the individual Directors of NEA 14 GP, each of the Directors also may be deemed to own beneficially the Shares.

As of December 31, 2016, Mott is the record owner of options to purchase 163,229 Ordinary Shares exercisable immediately (the "Mott Option Shares"). As such, Mott may be deemed to be the beneficial owner of the Mott Option Shares in addition to the Shares.

Percent of Class: See Line 11 of cover sheets. The percentages set forth on the cover sheet for each Reporting Person other than Mott are calculated based on 424,711,900 Ordinary Shares reported by the Issuer to be (b) outstanding as of November 10, 2016 on the Issuer's Form 10-Q as filed with the Securities and Exchange Commission on November 10, 2016 (the "10-Q Shares"). The percentage set forth on the cover sheet for Mott is calculated based on 424,875,129 Ordinary Shares, which includes (i) the 10-Q Shares and (ii) Mott Option Shares.

- (c) Number of shares as to which such person has:
- (i) sole power to vote or to direct the vote: See Line 5 of cover sheets.
- (ii) shared power to vote or to direct the vote: See Line 6 of cover sheets.
- (iii) sole power to dispose or to direct the disposition of: See Line 7 of cover sheets.
- (iv) shared power to dispose or to direct the disposition of: See Line 8 of cover sheets.

Each Reporting Person disclaims beneficial ownership of such Securities except for the shares, if any, such Reporting Person holds of record.

### Item 5. Ownership of Five Percent or Less of a Class.

Kolluri and Weller have ceased to beneficially own five percent (5%) or more of the Issuer's outstanding Common Stock as a result of ceasing to be a Director of NEA 14 GP.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable.

Item 7. <u>Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person.</u>

Not applicable.

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### Item 8. <u>Identification and Classification of Members of the Group</u>.

Not applicable. The Reporting Persons expressly disclaim membership in a "group" as used in Rule 13d-5(b).

### Item 9. Notice of Dissolution of Group.

Not applicable.

### Item 10. Certification.

Not applicable. This Schedule 13G is not filed pursuant to Rule 13d 1(b) or Rule 13d–1(c).

## Material to be Filed as Exhibits.

Exhibit 1 – Agreement regarding filing of joint Schedule 13G.

Exhibit 2 – Power of Attorney regarding filings under the Securities Exchange Act of 1934, as amended.

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### **SIGNATURE**

After reasonable inquiry and to the best of its knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

Date: February 14, 2017

NEW ENTERPRISE ASSOCIATES 14, L.P.

By: NEA PARTNERS 14, L.P. General Partner

By: NEA 14 GP, LTD

General Partner

By:
Peter J. Barris
Director

NEA PARTNERS 14, L.P.

By: NEA 14 GP, LTD

General Partner

By: \*

Peter J. Barris

Director

NEA 14 GP, LTD

By: \*
Peter J. Barris
Director

\*

Michael James Barrett

\*

Peter J. Barris

\*

Forest Baskett

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Anthony A. Florence, Jr.	
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Patrick J. Kerins	
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Krishna S. Kolluri	
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David M. Mott	
*	
Scott D. Sandell	
*	
Peter W. Sonsini	
*	
Ravi Viswanathan	
*	
Harry R. Weller	
*By: /s/ Sasha O. Keough	_
Sasha O. Keough	
As attorney-in-fact	
This Schedule 13G was executed by Sasha O. Keough of Attorney, a copy of which is attached as Exhibit 2.	on behalf of the individuals listed above pursuant to a Power of

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#### **EXHIBIT 1**

#### **AGREEMENT**

Pursuant to Rule 13d-1(k)(1) under the Securities Exchange Act of 1934, the undersigned hereby agree that only one statement containing the information required by Schedule 13G need be filed with respect to the ownership by each of the undersigned of shares of stock of Adaptimmune Therapeutics Plc.

EXECUTED this 14th day of February, 2017

NEW ENTERPRISE ASSOCIATES 14, L.P.

By: NEA PARTNERS 14, L.P. General Partner

By: NEA 14 GP, LTD

General Partner

By: Peter J. Barris

Director

NEA PARTNERS 14, L.P.

By: NEA 14 GP, LTD

General Partner

By: \*

Peter J. Barris

Director

NEA 14 GP, LTD

By: \*
Peter J. Barris

Director

\*

Michael James Barrett

*		
Peter J. Barris		
*		
Forest Baskett		

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Anthony A. Florence, Jr.
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Krishna S. Kolluri
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David M. Mott
*
cott D. Sandell
*
Peter W. Sonsini
*
Ravi Viswanathan
*
Harry R. Weller
By: /s/ Sasha O. Keough asha O. Keough as attorney-in-fact

This Agreement was executed by Sasha O. Keough on behalf of the individuals listed above pursuant to a Power of Attorney, a copy of which is attached as Exhibit 2.

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#### **EXHIBIT 2**

#### POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS, that the undersigned hereby constitutes and appoints Louis S. Citron, Timothy Schaller, Sasha Keough and Stephanie Brecher, and each of them, with full power to act without the others, his or her true and lawful attorney-in-fact, with full power of substitution, to sign any and all instruments, certificates and documents that may be necessary, desirable or appropriate to be executed on behalf of himself as an individual or in his or her capacity as a direct or indirect general partner, director, officer or manager of any partnership, corporation or limited liability company, pursuant to section 13 or 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), and any and all regulations promulgated thereunder, and to file the same, with all exhibits thereto, and any other documents in connection therewith, with the Securities and Exchange Commission, and with any other entity when and if such is mandated by the Exchange Act or by the Financial Industry Regulatory Authority, granting unto said attorney-in-fact full power and authority to do and perform each and every act and thing necessary, desirable or appropriate, fully to all intents and purposes as he or she might or could do in person, thereby ratifying and confirming all that said attorney-in-fact, or his or her substitutes, may lawfully do or cause to be done by virtue hereof.

IN WITNESS WHEREOF, this Power of Attorney has been signed as of the 1st day of August, 2013.

/s/ M. James Barrett M. James Barrett

/s/ Peter J. Barris Peter J. Barris

/s/ Forest Baskett Forest Baskett

/s/ Rohini Chakravarthy Rohini Chakravarthy

/s/ Patrick Chung Patrick Chung

/s/ Ryan Drant Ryan Drant

/s/ Anthony A. Florence Anthony A. Florence

/s/ Robert Garland Robert Garland

/s/ Paul Hsiao Paul Hsiao

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### /s/ Patrick J. Kerins

Patrick J. Kerins

/s/ Suzanne King Suzanne King

/s/ Krishna S. Kolluri Krishna S. Kolluri

/s/ C. Richard Kramlich C. Richard Kramlich

/s/ Edward Mathers Edward Mathers

/s/ David M. Mott David M. Mott

/s/ John M. Nehra John M. Nehra

/s/ Charles W. Newhall III Charles W. Newhall III

/s/ Jason R. Nunn Jason R. Nunn

/s/ Jon Sakoda Jon Sakoda

/s/ Scott D. Sandell Scott D. Sandell

/s/ Peter W. Sonsini Peter W. Sonsini

/s/ A. Brooke Seawell A. Brooke Seawell

/s/ Ravi Viswanathan Ravi Viswanathan

/s/ Paul E. Walker Paul E. Walker /s/ Harry Weller Harry Weller