SIMPSON PSB FUND

Form SC 13D/A

March 27, 2003

SEC 1746 (11-02) Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **SCHEDULE 13D**

Under the Securities Exchange Act of 1934 (Amendment No. 4)\*

OMB APPROVAL OMB Number: 3235-0145

Expires: December 31, 2005 Estimated average burden hours per response. . .11

# Simpson Manufacturing Co., Inc.

(Name of Issuer)

#### Common Stock

(Title of Class of Securities)

#### 829073105

(CUSIP Number)

Douglas L. Hammer, Esq. Shartsis Friese & Ginsburg, LLP One Maritime Plaza, 18th Floor San Francisco, CA 94111 (415) 421-6500

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

March 5, 2003

(Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box.

**Note**: Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### **CUSIP No.** 829073105 1. Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only). Simpson PSB Fund 2. Check the Appropriate Box if a Member of a Group (See Instructions) (a) **(b)** [XX] 3. **SEC Use Only** 4. **Source of Funds (See Instructions)** 00 5. Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e) 6. Citizenship or Place of Organization California 7. **Sole Voting Power** 822,310 Number of 8. **Shared Voting Power Shares** Beneficially Owned by 9. **Each Sole Dispositive Power** Reporting 822,310 Person With 10. **Shared Dispositive Power** 11. Aggregate Amount Beneficially Owned by Each Reporting Person 822,310 12. **Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)** 13. Percent of Class Represented by Amount in Row (11) 3.3

2

**Type of Reporting Person (See Instructions)** 

14.

CO

1.	Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only).  Barclay Simpson				
2.	Check the Appropriate Bo	ox if a Member of a Group (See Instructions)			
	(a)	[XX]			
	<b>(b)</b>	[ ]			
	(~)	L J			
3.	SEC Use Only				
4.	Source of Funds (See Instr PF	ructions)			
5.	Check if Disclosure of Leg	gal Proceedings Is Required Pursuant to Items 2(d) or 2(e)			
6.	Citizenship or Place of Or	vanization			
<b>0.</b>	United States	gamzation			
	7.	Sole Voting Power			
	<b>7.</b>	5,008,169			
		3,000,109			
Number of	8.	Shared Voting Power			
Shares		10,002			
Beneficially		10,002			
Owned by	0				
Each Reporting	9.	Sole Dispositive Power			
Person With		5,008,169			
i erson with					
	10.	Shared Dispositive Power			
		10,002			
11.	Aggregate Amount Benefi	cially Owned by Each Reporting Person			
	5,018,171	r a grand and a grand gr			
	3,010,171				
12.	Check if the Aggregate Ar	mount in Row (11) Excludes Certain Shares (See Instructions)			
13.	Donagnt of Class Donneson	ted by Amount in Row (11)			
201	20.4	ted by Amount in Row (11)			
	۷ <b>٠.</b> 4				
14.	Type of Reporting Person IN	(See Instructions)			
		3			

Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only).						
ompoon myodinent Company						
Check the Appropriate Box if a Member of a Group (See Instructions)						
(a)	[XX]					
<b>(b)</b>	[ ]					
SEC Use Only						
Source of Funds (See Instr OO	uctions)					
Check if Disclosure of Lega	al Proceedings Is Required Pursuant to Items 2(d) or 2(e)					
Citizenship or Place of Org California	ganization					
7.	Sole Voting Power					
8.	Shared Voting Power 10,002					
9.	Sole Dispositive Power					
10.	Shared Dispositive Power 10,002					
Aggregate Amount Benefic 10,002	cially Owned by Each Reporting Person					
Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)						
Percent of Class Represented by Amount in Row (11) 0.0						
Type of Reporting Person (See Instructions) PN						
	4					
	(a) (b)  SEC Use Only  Source of Funds (See Instr OO  Check if Disclosure of Legalifornia  7.  8.  9.  10.  Aggregate Amount Benefic 10,002  Check if the Aggregate Amount Percent of Class Represent 0.0  Type of Reporting Person					

1.	Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only).  Dr. John B. Simpson			
2.	Check the Appropriate Bo	ox if a Member of a Group (See Instructions)		
	(a)	[XX]		
	<b>(b)</b>	[ ]		
		L J		
3.	SEC Use Only			
4.	Source of Funds (See Inst OO	ructions)		
5.	Check if Disclosure of Leg	gal Proceedings Is Required Pursuant to Items 2(d) or 2(e)		
6.	Citizenship or Place of Or	rganization		
-	United States	<b>9</b>		
	7.	Sole Voting Power		
	<b>,.</b>	454,896		
		151,070		
Number of	8.	Chanal Vatina Barran		
Shares	0.	Shared Voting Power		
Beneficially		10,002		
Owned by	•			
Each	9.	Sole Dispositive Power		
Reporting Person With		454,896		
reison with				
	10.	Shared Dispositive Power		
		10,002		
11.	Aggragata Amount Ranafi	icially Owned by Each Reporting Person		
	464,898	iciany Owned by Each Reporting Letson		
	404,090			
12.	Check if the Aggregate A	mount in Row (11) Excludes Certain Shares (See Instructions)		
	00 0			
13.	Percent of Class Represen	ated by Amount in Row (11)		
	1.9	•		
	11,7			
14.	Type of Reporting Person IN	(See Instructions)		
		5		

Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only).  Anne Simpson Gattis					
Check the Appropriate B	Check the Appropriate Box if a Member of a Group (See Instructions)				
	[XX]				
<b>(b)</b>	[ ]				
SEC Use Only					
Source of Funds (See Ins	tructions)				
Check if Disclosure of Le	egal Proceedings Is Required Pursuant to Items 2(d) or 2(e)				
Citizenship or Place of O United States	rganization				
7.	Sole Voting Power 403,416				
8.	Shared Voting Power 10,002				
9.	Sole Dispositive Power 403,416				
10.	Shared Dispositive Power 10,002				
Aggregate Amount Benef	ficially Owned by Each Reporting Person				
Check if the Aggregate A	amount in Row (11) Excludes Certain Shares (See Instructions)				
Percent of Class Represented by Amount in Row (11) 1.7					
Type of Reporting Person IN	n (See Instructions)				
	6				
	Anne Simpson Gattis  Check the Appropriate F (a) (b)  SEC Use Only  Source of Funds (See Ins OO)  Check if Disclosure of Le Citizenship or Place of O United States  7.  8.  9.  10.  Aggregate Amount Bene 413,418  Check if the Aggregate A Percent of Class Represe 1.7  Type of Reporting Person				

1.	Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only). Jean D. Simpson				
2.	Check the Appropriate I	Box if a Member of a Group (See Instructions)			
	(a)	[XX]			
	<b>(b)</b>	[ ]			
3.	SEC Use Only				
4.	Source of Funds (See Ins	structions)			
5.	Check if Disclosure of L	egal Proceedings Is Required Pursuant to Items 2(d) or 2(e)			
6.	Citizenship or Place of C United States	Organization			
	7	C.I. V.C., Donor			
	7.	Sole Voting Power 363,753			
Number of	8.	Shared Voting Power			
Shares		10,002			
Beneficially Owned by		10,002			
Each	9.	Sole Dispositive Power			
Reporting Person With		363,753			
	10.	Shared Dispositive Power			
		10,002			
11.	Aggregate Amount Bene 373,755	eficially Owned by Each Reporting Person			
12.	Check if the Aggregate A	Amount in Row (11) Excludes Certain Shares (See Instructions)			
13.	Percent of Class Represented by Amount in Row (11) 1.5				
14.	Type of Reporting Perso IN	on (See Instructions)			
		-			
		7			

1.	Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only).  Jeffrey Philip Gainsborough				
2.	Check the Appropriate Box if a Member of a Group (See Instructions)				
	(a)	[XX]			
	<b>(b)</b>	[ ]			
3.	SEC Use Only				
4.	Source of Funds (See Ins	structions)			
5.	Check if Disclosure of L	egal Proceedings Is Required Pursuant to Items 2(d) or 2(e)			
6.	Citizenship or Place of C United States	Organization			
	7.	Sole Voting Power			
Number of Shares Beneficially	8.	Shared Voting Power 10,002			
Owned by Each Reporting Person With	9.	Sole Dispositive Power			
	10.	Shared Dispositive Power 10,002			
11.	Aggregate Amount Bend	eficially Owned by Each Reporting Person			
12.	Check if the Aggregate Amount in Row (11) Excludes Certain Shares (See Instructions)				
13.	Percent of Class Represented by Amount in Row (11) 0.0				
14.	Type of Reporting Perso	on (See Instructions)			
		8			

Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only).  Julie Marie Simpson				
Check the Appropriate Box if a Member of a Group (See Instructions)				
(a)	[XX]			
(b)	[ ]			
SEC Use Only				
Source of Funds (See Instructions) OO				
Check if Disclosure of Legal Proceedings Is Required Pursuant to Items 2(d) or 2(e)				
Citizenship or Place of O United States	organization			
7.	Sole Voting Power 110,000			
8.	Shared Voting Power 10,002			
9.	Sole Dispositive Power 110,000			
10.	Shared Dispositive Power			
	10,002			
Aggregate Amount Benefit 120,002	ficially Owned by Each Reporting Person			
Check if the Aggregate A	amount in Row (11) Excludes Certain Shares (See Instructions)			
Percent of Class Represented by Amount in Row (11) 0.5				
Type of Reporting Person IN	n (See Instructions)			
	9			
	Check the Appropriate H (a) (b)  SEC Use Only  Source of Funds (See Insto) Check if Disclosure of Letter Citizenship or Place of Ounited States  7.  8.  9.  10.  Aggregate Amount Bene 120,002  Check if the Aggregate Appropriate H Percent of Class Represe 0.5  Type of Reporting Perso			

1.	Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only). Elizabeth Simpson Murray				
2.	Check the Appropriate B (a) (b)	Box if a Member of a Group (See Instructions)  [XX]  [ ]			
3.	SEC Use Only				
4.	Source of Funds (See Inst OO	tructions)			
5.	Check if Disclosure of Le	egal Proceedings Is Required Pursuant to Items 2(d) or 2(e)			
6.	Citizenship or Place of O United States	rganization			
	7.	Sole Voting Power 106,600			
Number of Shares Beneficially Owned by	8.	Shared Voting Power 10,002			
Each Reporting Person With	9.	Sole Dispositive Power 106,600			
	10.	Shared Dispositive Power 10,002			
11.	Aggregate Amount Benef	ficially Owned by Each Reporting Person			
12.	Check if the Aggregate A	amount in Row (11) Excludes Certain Shares (See Instructions)			
13.	Percent of Class Represes	nted by Amount in Row (11)			
14.	Type of Reporting Person IN	n (See Instructions)			
		10			

1.	Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only).  Amy Catherine Simpson				
2.	Check the Appropriate Box if a Member of a Group (See Instructions)				
	(a) (b)	[XX] [ ]			
3.	SEC Use Only				
4.	Source of Funds (See Instructions) OO				
5.	Check if Disclosure of Leg	gal Proceedings Is Required Pursuant to Items 2(d) or 2(e)			
6.	Citizenship or Place of Organization United States				
	7.	Sole Voting Power 113,200			
Number of Shares Beneficially Owned by	8.	Shared Voting Power 10,002			
Each Reporting Person With	9.	Sole Dispositive Power 113,200			
	10.	Shared Dispositive Power 10,002			
11.	Aggregate Amount Benefit 123,202	icially Owned by Each Reporting Person			
12.	Check if the Aggregate Ar	mount in Row (11) Excludes Certain Shares (See Instructions)			
13.	Percent of Class Represented by Amount in Row (11) 0.5				
14.	Type of Reporting Person IN	(See Instructions)			
		11			

#### Item 1. Security and Issuer

This statement relates to shares of Common Stock (the "Stock") of Simpson Manufacturing Co., Inc. (SSD). The principal executive office of SSD is located at 4120 Dublin Boulevard, Suite 400, Dublin, CA 94568.

#### Item 2. Identity and Background

The persons filing this statement and the persons enumerated in Instruction C of Schedule 13D and, where applicable, their respective places of organization, general partners, directors, executive officers and controlling persons, and the information regarding them, are as follows:

- (a) Simpson PSB Fund (PSB) is a California nonprofit public benefit corporation. The executive officers of PSB are Barclay Simpson, president; Charles A. Lee, secretary and chief financial officer; and Sharon Simpson, vice president. The directors of PSB are Barclay Simpson, Charles A. Lee, Sharon Simpson, Thomas J Fitzmyers, Dr. John B. Simpson, Anne Simpson Gattis, Jean D. Simpson, Jeffrey Philip Gainsborough, Julie Marie Simpson, Elizabeth Simpson Murray and Amy Catherine Simpson. Simpson Investment Company (SIC) is a California general partnership whose general partners are Barclay Simpson, Dr. John B. Simpson, Anne Simpson Gattis, Jean D. Simpson, Jeffrey Philip Gainsborough, Julie Marie Simpson, Elizabeth Simpson Murray and Amy Catherine Simpson.
- (b) The business address of Simpson PSB Fund is 3669 Mount Diablo Boulevard, Lafayette, CA 94549. The business address of SIC, Barclay Simpson and Thomas J Fitzmyers is listed in Item 1 above. Charles A. Lee s business address is One Bates Boulevard, Suite 300, Orinda, CA 94563-2800. Dr. John B. Simpson's business address is the University of California, Santa Cruz, 296 McHenry Library, Santa Cruz, CA 95064. Jeffrey Philip Gainsborough's business address is 4702 FM 1960 West, Suite 131, Houston, TX 77069. Jean D. Simpson's residence address is 227 Bonita Ave., Piedmont, CA 94611. Julie Marie Simpson's residence address is 6180 Via Escondido, Malibu, CA 90263. Amy Catherine Simpson's residence address is P.O. Box 4574, Incline Village, NV 89450. Elizabeth Simpson Murray's residence address is 1107 Kagawa St., Pacific Palisades, CA 90272. Anne Simpson Gattis' residence address is 29 Charles Hill Road, Orinda, CA 94563. Sharon Simpson s residence address is 520 Miner Road, Orinda, CA 94563.
- (c) Barclay Simpson is chairman of the board of directors of SSD. Thomas J Fitzmyers is president, chief executive officer and a director of SSD. See Item 1 above for the address of SSD. Charles A. Lee is a partner of Guidotti & Lee. SIC is a family partnership engaged in investment activities. Barclay Simpson is the managing general partner of SIC and the father of SIC s other general partners. Dr. John B. Simpson is executive vice chancellor and campus provost at the University of California, Santa Cruz. Jeffrey Philip Gainsborough is president of Gainsborough Mortgage, Inc. See section (b) above for their business addresses. Jean D. Simpson is a schoolteacher. Julie Marie Simpson is a marketer and jewelry designer. Amy Catherine Simpson, until recently, was the chef and owner of Jack Rabbit Moon. Elizabeth Simpson Murray is self-employed as an actress. Anne Simpson Gattis is a homemaker. Sharon Simpson is a homemaker. Jean D. Simpson, Julie Marie Simpson, Amy Catherine Simpson, Elizabeth Simpson Murray, Anne Simpson Gattis and Sharon Simpson have no business addresses.
- (d) During the last five years, none of the persons listed in section (a) above has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors).

- (e) During the last five years, none of the persons listed in section (a) above was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction and as a result of such proceeding was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.
- (f) All of such natural persons are U.S. citizens.

## Item 3. Source and Amount of Funds or Other Consideration

The source and amount of funds used in purchasing Stock were as follows:

Purchaser	Source of Funds	Amount
PSB	Stock was acquired by gift	0
SIC	Other	0
Barclay Simpson	PF	Nominal
Dr. John B. Simpson	Other	0
Anne Simpson Gattis	Other	0
Jean D. Simpson	Other	0
Jeffrey Philip Gainsborough	Other	0
Julie Marie Simpson	Other	0
Elizabeth Simpson Murray	Other	0
Amy Catherine Simpson	Other	0
Thomas J Fitzmyers	PF	\$ 248,227.84
Sharon Simpson	Other	0

#### Item 4. Purpose of Transaction

Barclay Simpson made a charitable donation of 2,000,000 shares of Stock to PSB on January 31, 2003. PSB sold 1,202,690 shares of Stock pursuant to a registration statement on Form S-3, filed with the SEC on February 14, 2003 (Registration No. 333-102910), for the purpose of providing funds for PSB s charitable endeavors.

	Aggregate Beneficial	ly Owned	Voting Power		Dispositive Power	
Name	Number	Percent	Sole	Shared	Sole	Shared
PSB	822,310	3.3	822,310		822,310	
SIC	10,002	0.0		10,002		10,002
Barclay Simpson	5,018,171(1)(2)(3)	20.4	5,008,169(1)(2)	10,002(3)	5,008,169(1)(2)	10,002(3)
De John D. Cimmon						
Dr. John B. Simpson	464,898(3)	1.9	454,896	10,002(3)	454,896	10,002(3)
Anne Simpson Gattis	412 419/2)	1.7	402 416	10.002(2)	402 416	10.002(2)
Time ompson Gattis	413,418(3)	1./	403,416	10,002(3)	403,416	10,002(3)
Jean D. Simpson	373,755(3)	1.5	363,753	10,002(3)	363,753	10,002(3)
	270,700(0)	1.0	200,700	10,002(2)	202,722	10,002(0)
Jeffrey Philip Gainsborough	10,002(3)	0.0		10,002(3)		10,002(3)
Julie Marie Simpson	120,002(3)	0.5	110,000	10,002(3)	110,000	10,002(3)
Elizabeth Simpson Murray	116,602(3)	0.5	106,600	10,002(3)	106,600	10,002(3)
Amy Catherine Simpson	100 200(0)	0.5	112 200	10.002(2)	112.200	10.002(2)
Amy Caulerine Simpson	123,302(3)	0.5	113,200	10,002(3)	113,200	10,002(3)
Thomas J Fitzmyers	487,414(4)(5)	2.0	487,414(4)(5)		487,414(4)(5)	
	137,111(1)(3)	2.0	107,111(1)(3)		101,111(1)(3)	
Sharon Simpson	540	0.0	540		540	

<sup>(1)</sup> Includes 1,750 shares of Stock receivable on exercise of an option that is exercisable within 60 days.

- (3) Includes 10,002 shares of Stock held by SIC.
- (4) Includes 33,750 shares of Stock receivable on exercise of an option that is exercisable within 60 days.
- (5) Includes 10,400 shares of stock owned indirectly through SSD s profit sharing trust.

<sup>(2)</sup> Includes 4,277 shares of Stock owned indirectly through SSD s profit sharing trust.

The persons filing this statement effected the following transactions in the Stock on the dates indicated, and such transactions are the only transactions in the Stock by the persons named in Item 2 since Amendment No. 3 to this Schedule 13D was filed on January 31, 2003:

Name	Purchase or Sale	Date	Number of Shares	Price Per Share	
PSB	Sale	3/5/03	977,690 \$		32
PSB	Sale	3/10/03	225,000 \$		32

#### Item 6.

# Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Barclay Simpson, Dr. John B. Simpson, Anne Simpson Gattis, Jean D. Simpson, Jeffrey Philip Gainsborough, Julie Marie Simpson, Elizabeth Simpson Murray, and Amy Catherine Simpson are the general partners of SIC, pursuant to SIC's partnership agreement. As the managing general partner of SIC, and as attorney-in-fact for the other reporting persons, Barclay Simpson has the authority, among other things, to invest the funds of SIC in the Stock, to vote and dispose of the Stock and to file this statement on behalf of SIC and the other general partners.

Barclay Simpson and Thomas J Fitzmyers participate in the SSD 1994 Stock Option Plan (the "Plan"), which is described on pages 5 through 10 of Exhibit B hereto. A copy of the Plan is Exhibit C hereto. Barclay Simpson holds Nonstatutory Stock Options granted under the Plan, entitling him to purchase up to 2,000 shares of Stock, of which 1,750 shares of Stock may be purchased within 60 days. Thomas J Fitzmyers holds Nonstatutory Stock Options granted under the Plan, entitling him to purchase up to 36,000 shares of Stock, of which 33,750 shares of Stock may be purchased within 60 days.

On January 31, 2003, SSD filed a registration statement for an offering of 2,000,000 shares of SSD held by PSB. PSB engaged du Pasquier & Co., Inc. and CJS Securities to sell these shares publicly. SSD withdrew 797,310 shares from registration on March 26, 2003.

#### Item 7. Material to Be Filed as Exhibits

- A. Agreement Regarding Joint Filing of Statement on Schedule 13D is incorporated herein by reference to Amendment No. 3 to this Schedule 13D filed on January 31, 2003.
- B. Matter under the heading Proposal No. 2 Approval of Amendment of the Simpson Manufacturing Co., Inc. 1994 Stock Option Plan, in SSD s Proxy Statement dated June 24, 2002, is incorporated herein by reference.
- C. Simpson Manufacturing Co., Inc. 1994 Stock Option Plan is incorporated herein by reference to Exhibit 4.1 to SSD s Form S-8 Registration Statement filed on July 30, 2002.
- C. SIC Partnership Agreement is incorporated by reference to Amendment No. 1 to this Schedule 13D filed on November 7, 1996.
- D. Form of Finder s Agreement is incorporated by reference to SSD s Form S-3 Registration Statement filed on January 31, 2003.

15

## **Signatures**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: March 26, 2003

#### SIMPSON INVESTMENT COMPANY

By: /s/ Barclay Simpson

Barclay Simpson

Managing General Partner

Dr. John B. Simpson Anne Simpson Gattis Jean D. Simpson

Jeffrey Philip Gainsborough

Julie Marie Simpson

Elizabeth Simpson Murray

Amy Catherine Simpson

By: /s/ Barclay Simpson

Barclay Simpson Attorney-In-Fact

#### SIMPSON PSB FUND

By: /s/ Barclay Simpson

Barclay Simpson

President

/s/ Barclay Simpson

Barclay Simpson

16

Signatures 18