

MCDERMUT MARTIN S

Form 3

November 23, 2018

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

MCDERMUT MARTIN S

(Last) (First) (Middle)

C/O RESONANT INC., 175
CREMONA DRIVE, SUITE 200

(Street)

GOLETA, CA 93117

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/15/2018

3. Issuer Name and Ticker or Trading Symbol
Resonant Inc [RESN]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

CFO and Secretary

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

1,500

D

A

Common Stock

3,400

I

My Mr. McDermut's spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â (1)	Â (1)	Common Stock	175,000	\$ (2)	D	Â
Stock Option (Right to Buy)	Â (3)	05/28/2024	Common Stock	12,000	\$ 6	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MCDERMUT MARTIN S C/O RESONANT INC. 175 CREMONA DRIVE, SUITE 200 GOLETA, CA 93117	Â	Â	Â CFO and Secretary	Â

Signatures

/s/Martin S.
McDermut 11/23/2018

__Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units will vest in four equal installments of 43,750 shares on each of December 1, 2018, December 1, 2019, December 1, 2020 and December 1, 2021.
- (2) Each restricted stock unit represents a contingent right to receive one share of Resonant Inc. common stock.
- (3) 5,000 shares vested on May 28, 2014 (the "Grant Date"), and 700 shares vested on the on the last day of each calendar quarter from the Grant Date until fully vested.

Â

Remarks:

ExhibitÂ 24Â powerÂ ofÂ attorneyÂ filedÂ herewith.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.