### Edgar Filing: HANRAHAN PAUL T - Form 4

| HANRAHA<br>Form 4                                 | N PAUL T                             |  |        |                                |          |                     |  |   |  |                                       |                              |  |
|---|--------------------------------------|--|--------|--------------------------------|----------|---------------------|--|---|--|---------------------------------------|------------------------------|--|
| June 20, 201                                      | 1                                    |  |        |                                |          |                     |  |   |  |                                       |                              |  |
| FORM  | 14 UNITED                            | ) STATES   | SECII  | RITIFS                         | Δ        | ND FX(              | THA  | NGE C   | OMMISSION  |                                       | PPROVAL                      |  |
| <i>.</i>  |                                      | JSIAILO  |        | shingtor                       |          |                     |  | NGEC  | 01411411551014   | OMB<br>Number:                        | 3235-0287                    |  |
| Check th<br>if no lon                             | aer                                  |  |        |                                | Expires: | January 31,<br>2005 |  |   |  |                                       |                              |  |
| subject t<br>Section<br>Form 4 o<br>Form 5        | o SIAIE<br>16.<br>or                 | <b>STATEMENT OF CHANGES IN BENEFICIAL OWN</b><br><b>SECURITIES</b><br>Filed pursuant to Section 16(a) of the Securities Exchange |        |                                |          |                     |  |   |  | Estimated a<br>burden hou<br>response | ed average<br>hours per      |  |
| obligatic<br>may con<br><i>See</i> Instr<br>1(b). | tinue. Section 1                     | 7(a) of the  |        | tility Ho                      | oldi     | ing Com             | npany  | Act of  | 1935 or Section  | n                                     |                              |  |
| (Print or Type                                    | Responses)                           |  |        |                                |          |                     |  |   |  |                                       |                              |  |
|   | Address of Reportin<br>AN PAUL T     | ng Person <sup>*</sup>   | Symbol | r Name <b>ar</b><br>ORP [AI    |          |                     | Tradir   | ıg  | 5. Relationship of<br>Issuer   |                                       |                              |  |
| (Last)  | (First)                              | (Middle)   |        | f Earliest 7                   |          | -                   |  |   | (Chec  | k all applicable                      | e)                           |  |
|   |                                      |  |        | (Month/Day/Year)<br>06/16/2011 |          |                     |  |   | _X_ Director 10% Owner<br>_X_ Officer (give title Other (specify<br>below) below)<br>President and CEO |                                       |                              |  |
|   | (Street)                             |  |        | endment, I<br>nth/Day/Ye       |          | e Original          |  |   | 6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by O<br>Form filed by M                      | One Reporting Pe                      | erson                        |  |
|   | ON, VA 22203                         |  |        |                                |          |                     |  |   | Person   |                                       | 1 0                          |  |
| (City)  | (State)                              | (Zip)  | Tab    | le I - Non-                    | -De      | erivative           | Securi   | ities Acqu  | uired, Disposed of   | , or Beneficial                       | lly Owned                    |  |
| 1.Title of<br>Security<br>(Instr. 3)              | 2. Transaction Da<br>(Month/Day/Year | 3. 4. Securities Acquired<br>Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8)<br>(A)<br>or            |        |                                |          |                     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                                      |                                       |                              |  |
| ~   |                                      |  |        | Code V                         |          | Amount              | (D)  | Price   | (Instr. 3 and 4)   |                                       |                              |  |
| Common<br>Stock                                   | 06/16/2011                           |  |        | М                              |          | 28,100              | А  | \$ 2.83   | 310,581  | D                                     |                              |  |
| Common<br>Stock                                   | 06/16/2011                           |  |        | S                              |          | 19,400              | D  | \$<br>12.16   | 291,181  | D                                     |                              |  |
| Common<br>Stock                                   | 06/17/2011                           |  |        | М                              |          | 28,100              | А  | \$ 2.83   | 319,281  | D                                     |                              |  |
| Common<br>Stock                                   | 06/17/2011                           |  |        | S                              |          | 19,400              | D  | \$<br>12.31   | 299,881  | D                                     |                              |  |
| Common<br>Stock                                   |                                      |  |        |                                |          |                     |  |   | 56,167   | I                                     | By 401(k)<br>Plan <u>(2)</u> |  |

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| Common<br>Stock  |   |   |   |  |     |        | 110  | Ι                  | By Spous   | se                                  |
|--|---|---|---|--|-----|--------|--|--------------------|--|-------------------------------------|
| Common<br>Stock  |   |   |   |  |     |        | 82,068                                       | Ι                  | By the<br>Hanrahar<br>2010<br>Grantor<br>Retained<br>Annuity<br>Trust<br>Number<br>One | 1                                   |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  |   |   |   |  |     |        |  |                    |  |                                     |
| Persons who respond to the collection of SEC 1474<br>information contained in this form are not (9-02)<br>required to respond unless the form<br>displays a currently valid OMB control<br>number. |   |   |   |  |     |        |  |                    |  |                                     |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)   |   |   |   |  |     |        |  |                    |  |                                     |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of<br>TransactiorDerivative<br>Code Securities<br>(Instr. 8) Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) |     |        | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | ate                | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4)                    |                                     |
|  |   |   |   | Code V   | (A) | (D)    | Date<br>Exercisable                          | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy)   | \$ 2.83   | 06/16/2011                              |   | М  |     | 28,100 | <u>(3)</u>                                   | 02/12/2013         | Common<br>Stock  | 28,100                              |
| Stock<br>Option<br>(Right to<br>Buy)   | \$ 2.83   | 06/17/2011                              |   | М  |     | 28,100 | (3)  | 02/12/2013         | Common<br>Stock  | 28,100                              |
|  |   |   |   |  |     |        |  |                    |  |                                     |

# **Reporting Owners**

| <b>Reporting Owner Name / Address</b> | Relationships |           |                   |       |  |  |  |  |
|---------------------------------------|---------------|-----------|-------------------|-------|--|--|--|--|
|                                       | Director      | 10% Owner | Officer           | Other |  |  |  |  |
| HANRAHAN PAUL T                       | Х             |           | President and CEO |       |  |  |  |  |
| 4300 WILSON BOULEVARD                 |               |           |                   |       |  |  |  |  |

8 E S () ARLINGTON, VA 22203

### Signatures

/s/ Paul Hanrahan

06/20/2011

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and reported sales were made pursuant to a 10b5-1 trading plan entered into on March 7, 2011.
- (2) Between May 19, 2011 and June 15, 2011, the reporting person acquired an additional 143 shares of AES Common Stock at an average price of \$12.55 pursuant to The AES Retirement Savings Plan. This information is based upon a plan statement dated June 15, 2011.
- (3) This option vested in two equal installments on February 12, 2004 and 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.