

Roman Michael F  
Form 3  
May 22, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Roman Michael F		(Month/Day/Year)	3M CO [MMM]	
(Last)	(First)	(Middle)	05/15/2013	
3M CENTER			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
ST. PAUL,Â MNÂ 55144-1000			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Senior Vice President	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	533	D	Â
Common Stock	57	I	401k/paesop Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
--	--	---	---	---	---

Edgar Filing: Roman Michael F - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	Â <u>(1)</u>	Â <u>(1)</u>	Common Stock	1,055	\$ <u>(2)</u>	D	Â
Non-qualified Stock Option (Right to Buy)	05/10/2006	05/08/2015	Common Stock	6,198	\$ 76.8	D	Â
Non-qualified Stock Option (Right to Buy)	05/09/2007	05/09/2016	Common Stock	9,000	\$ 87.35	D	Â
Non-qualified Stock Option (Right to Buy)	05/08/2008	05/08/2017	Common Stock	4,036	\$ 84.78	D	Â
Non-qualified Stock Option (Right to Buy)	05/13/2009	05/13/2018	Common Stock	6,604	\$ 77.18	D	Â
Non-qualified Stock Option (Right to Buy)	02/09/2010	02/08/2019	Common Stock	4,944	\$ 54.11	D	Â
Non-qualified Stock Option (Right to Buy)	02/09/2011	02/07/2020	Common Stock	8,906	\$ 78.72	D	Â
Non-qualified Stock Option (Right to Buy) <u>(3)</u>	02/08/2012	02/08/2021	Common Stock	10,067	\$ 89.47	D	Â
Non-qualified Stock Option (Right to Buy) <u>(4)</u>	02/07/2013	02/07/2022	Common Stock	9,747	\$ 87.89	D	Â
Non-qualified Stock Option (Right to Buy) <u>(5)</u>	02/05/2014	02/03/2023	Common Stock	10,610	\$ 101.49	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Roman Michael F 3M CENTER ST. PAUL, MN 55144-1000	Â	Â	Â Senior Vice President	Â

## Signatures

George Ann Biros, attorney-in-fact for Michael F. Roman  
05/22/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The restricted stock units will vest 100% on 1/2/2014.
- (2) Each restricted stock unit represents a contingent right to receive one share of 3M common stock.

### Edgar Filing: Roman Michael F - Form 3

- (3) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/8/2011).
- (4) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/7/2012).
- (5) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/5/2013)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.