Life Technologies Corp Form 4 February 04, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

(Middle)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Stevenson Mark

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to Issuer

Life Technologies Corp [LIFE]

(Check all applicable)

5791 VAN ALLEN WAY

(Month/Day/Year)

02/03/2014

Director 10% Owner X\_ Officer (give title \_ \_\_Other (specify below)

President & COO

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

CARLSBAD, CA 92008

(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Se	ecuriti	es Acquired	, Disposed of, or	Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities and Disposed of (Instr. 3, 4 and Amount	(D)	red (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (1)	02/03/2014		D	122,923	D	\$ 76.1312	0	D	
Common Stock (1) (2)	02/03/2014		D	47,873.96	D	\$ 76.1312	0	I	By Employee Benefit Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

# Edgar Filing: Life Technologies Corp - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amour Number Shares
Stock Options	\$ 52	02/03/2014		D	84,516	03/01/2011	03/01/2020	Common Stock	84,5
Stock Options	\$ 48.91	02/03/2014		D	72,901	04/02/2013	04/02/2022	Common Stock	72,9
Stock Options	\$ 39.81	02/03/2014		D	69,584	11/21/2008	01/30/2017	Common Stock	69,5
Stock Options	\$ 22.23	02/03/2014		D	85,943	11/21/2012	11/21/2018	Common Stock	85,9
Restricted Stock Units	<u>(1)</u>	02/03/2014		D	7,550	04/01/2014	04/03/2017	Common Stock	7,5:
Restricted Stock Units	<u>(1)</u>	02/03/2014		D	22,649	04/01/2014	04/03/2017	Common Stock	22,6
Restricted Stock Units	<u>(1)</u>	02/03/2014		D	18,765	04/01/2012	04/01/2021	Common Stock	18,7
Restricted Stock Units	(1)	02/03/2014		D	18,765	04/01/2012	04/01/2021	Common Stock	18,7
Restricted Stock Units	(1)	02/03/2014		D	20,956	04/02/2013	04/04/2016	Common Stock	20,9
Restricted Stock Units	(1)	02/03/2014		D	10,478	04/02/2013	04/04/2016	Common Stock	10,4
Restricted Stock	(1)	02/03/2014		D	2,554.38	01/07/2017	01/07/2017	Common Stock	2,554
Restricted Stock	(1)	02/03/2014		D	1,643.71	03/15/2016	03/15/2016	Common Stock	1,643
Restricted Stock	(1)	02/03/2014		D	1,403.73	03/09/2015	03/09/2015	Common Stock	1,403

Restricted Stock D 3,404.01 03/11/2014 03/11/2021 Common Stock 3,404

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Stevenson Mark

5791 VAN ALLEN WAY President & COO

CARLSBAD, CA 92008

# **Signatures**

**(1)** 

John Cottingham, attorney-in-fact for Mr.
Stevenson

02/04/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

owned subsidiary of Thermo Fisher ("Merger Sub"), dated as of April 14, 2013 (the "Merger Agreement"). In accordance with the Merger Agreement, Merger Sub merged with and into the issuer (the "Merger"), with the issuer surviving the Merger as a wholly owned subsidiary of Thermo Fisher. At the effective time of the Merger, each outstanding share of the issuer's common stock was converted into the right to receive \$76.1311786 in cash (the "per-share merger consideration"). In addition, all outstanding options, performance restricted stock units and service-based restricted stock units were canceled at the effective time of the Merger in exchange for a cash payment equal to the per-share merger consideration (less the exercise price in the case of options), payable without interest and less any required withholding taxes (other than certain service-based restricted stock units that were assumed by Thermo Fisher at the effective time of the Merger and converted into the right to receive a cash payment equal to the per-share merger consideration, which will vest and become payable following the effective time of the

Merger in accordance with their original terms). The Merger is more fully described in the issuer's Proxy Statement filed with the

On February 3, 2014, Thermo Fisher Scientific Inc., a Delaware corporation ("Thermo Fisher"), acquired the issuer pursuant to that certain merger agreement between issuer, Thermo Fisher and Polpis Merger Sub Co., a Delaware corporation and wholly

(2) Common Stock issued under Deferred Compensation Plan.

SEC on July 22, 2013.

- These Stock Options, which provided for vesting in four equal annual installments beginning on the first anniversary of the date of the grant, were canceled at the effective time of the Merger (whether vested or unvested) in exchange for a cash payment equal to the per-share merger consideration, less the exercise price of the option.
- These service-based Restricted Stock Units, which provided for vesting in four equal annual installments beginning on the first anniversary of the date of the grant, were canceled at the effective time of the Merger in exchange for a cash payment equal to the per-share merger consideration.
- These service-based Restricted Stock Units, which provided for vesting in four equal annual installments beginning on the first anniversary of the date of the grant, were assumed by Thermo Fisher at the effective time of the Merger and converted into the right to receive a cash payment equal to the per-share merger consideration, which will vest and become payable following the effective time of the Merger in accordance with their original terms.
- These service-based Restricted Stock Units, which were issued under the Deferred Compensation Plan matching program and provided for vesting on the third anniversary of the time the match was made, were canceled at the effective time of the Merger in exchange for a cash payment equal to the per-share merger consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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