STANLEY BLACK & DECKER, INC.

Form 4 July 29, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

Ansell Jeffrey D

(Last)

2. Issuer Name and Ticker or Trading

Symbol

STANLEY BLACK & DECKER,

INC. [SWK]

1000 STANLEY DRIVE

3. Date of Earliest Transaction (Month/Day/Year)

07/25/2014

(Street)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

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Number:

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Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify

below)

SVP & Group Exec, Constr & DIY

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

NEW BRITAIN, CT 06053

		i cison								
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Officer Dispose (Instr. 3,	sed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	07/25/2014		Code V M	Amount 2,500	(D)	Price \$ 51.125	36,508	D		
Common Stock	07/25/2014		S	2,500 (1)	D	\$ 89.9	34,008	D		
Common Stock	07/25/2014		M	3,750	A	\$ 49.0225	37,758	D		
Common Stock	07/25/2014		S	3,750 (1)	D	\$ 89.9	34,008	D		
Common Stock	07/25/2014		M	7,500	A	\$ 63.715	41,508	D		

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Common Stock	07/25/2014	S	7,500 (1)	D	\$ 89.9	34,008	D	
Common Stock						19,512	I	Through Trust
Common Stock (2)						530.5433	I	Through Computershare Under ESPP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Secur Acqui (A) or Dispo	or osed of r. 3, 4,	6. Date Exerc Expiration D (Month/Day/	Date	7. Title and A Underlying S (Instr. 3 and	Securitie
				Code V	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amour Number Shares
Stock Option (Right to Buy)	\$ 51.125	07/25/2014		M		2,500	(3)	12/09/2017	Common Stock	2,
Stock Option (Right to Buy)	\$ 49.0225	5 07/25/2014		M		3,750	<u>(3)</u>	12/09/2019	Common Stock	3,
Stock Option (Right to Buy)	\$ 63.715	07/25/2014		M		7,500	<u>(4)</u>	12/09/2020	Common Stock	7,:
Interest In Employer Stock Fund (401(k) Plan)	<u>(6)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	1,266
Interest in Employer Stock Fund (Supplemental Plan) (7)	<u>(6)</u>						<u>(6)</u>	<u>(6)</u>	Common Stock	1,160

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Ansell Jeffrey D 1000 STANLEY DRIVE NEW BRITAIN, CT 06053

SVP & Group Exec, Constr & DIY

**Signatures** 

/s/ Bruce H. Beatt,
Attorney-in-Fact
07/29/2014

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to 10b5-1 Plan
- Aggregate number of shares held in ESPP as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported. Because interest in Plan is denominated in cash, fluctuation in share price since the balance was last reported may have resulted in either an increase or decrease in associated number of shares.
- (3) Currently 100% vested
- (4) 15,000 shares are exercisable and 5,000 shares will become exercisable on 12/9/2014.
- Represents shares held for the reporting person under the Company's 401(k) Savings Plan as of the last day of the calendar month that (5) ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported.
- (6) Exempt
- Represents shares held for the reporting person under the Company's Supplemental Plan as of the last day of the calendar month that (7) ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported.

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