

TORO CO
Form 3
December 09, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|----------|--------------------------------------|--|
| 1. Name and Address of Reporting Person * | | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol |
| Â ALKIRE DAVID H | | | (Month/Day/Year) | TORO CO [TTC] |
| (Last) | (First) | (Middle) | 12/02/2014 | 4. Relationship of Reporting Person(s) to Issuer |
| 8111 LYNDALE AVENUE SOUTH | | | | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | | |
| BLOOMINGTON,Â MNÂ 55420-1196 | | | | |
| (City) | (State) | (Zip) | | |

(Check all applicable)

| | | |
|---|------------------------------------|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| (give title below)(specify below) | | <input type="checkbox"/> Form filed by More than One Reporting Person |
| VP, RLC Businesses | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 4,140.221 ⁽¹⁾ | D | Â |
| Common Stock | 5,376.392 | I | The Toro Company Investment, Savings & ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|----------------------------|------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| Non-Qualified Stock Option | Â (2) | 12/07/2021 | Common Stock | 1,740 | \$ 28.23 | D | Â |
| Non-Qualified Stock Option | Â (3) | 12/11/2022 | Common Stock | 7,400 | \$ 42.06 | D | Â |
| Non-Qualified Stock Option | Â (4) | 12/06/2023 | Common Stock | 6,000 | \$ 59.5 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| ALKIRE DAVID H 8111 LYNDALE AVENUE SOUTH BLOOMINGTON, MN 55420-1196 | Â | Â | Â VP, RLC Businesses | Â |

Signatures

/s/ Nancy A. McGrath, 12/09/2014
Attorney-In-Fact

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2,128.039 shares of restricted stock, 66.41 shares of which vest on December 8, 2014, and 2,061.629 shares of which vest on June 1, 2015.
 - (2) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 7, 2011.
 - (3) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 11, 2012.
 - (4) The option vests in three equal annual installments commencing on the first anniversary of the date of grant, which was December 6, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.