TORO CO Form 4 December 07, 2015

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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Check this box if no longer subject to Section 16.

Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Svendsen Kurt D Issuer Symbol TORO CO [TTC] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 12/04/2015 below)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(Street) 4. If Amendment, Date Original

(Zip)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Filed(Month/Day/Year)

Form filed by More than One Reporting

VP, Information Services

BLOOMINGTON, MN 55420-1196 (State)

8111 LYNDALE AVENUE SOUTH

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1.Title of	2. Transaction Date		3.	4. Securities	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if		onAcquired (A) or	Securities	Ownership	Indirect	
(Instr. 3)		any	Code	Disposed of (D)	Beneficially	Form: Direct		
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	(D) or	Ownership	
					Following	Indirect (I)	(Instr. 4)	
				(A)	Reported Transaction(s)	(Instr. 4)		
				or	(Instr. 3 and 4)			
			Code V	Amount (D) Price	(Ilisti. 3 aliu 4)			
Common					2.042.024.(1)	ъ		
Stock					$2,943.834 \frac{(1)}{2}$	D		
Stock								
							The Toro	
							Company	
Common					4,858.392 (2)	Ţ	Investment,	
Stock					.,020.372	•	Savings &	
							Savings &	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form

**ESOP** 

(9-02)

#### Edgar Filing: TORO CO - Form 4

## displays a currently valid OMB control

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)		2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
					Code V	and 5)  (A) (D)	Date Exercisable	Expiration Date	Title	Amous or Number of Shares
	Non-Qualified Stock Option	\$ 77.64	12/04/2015		A	3,000	(3)	12/04/2025	Common Stock	3,00

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Svendsen Kurt D 8111 LYNDALE AVENUE SOUTH BLOOMINGTON, MN 55420-1196

VP, Information Services

## **Signatures**

/s/ Nancy A. McGrath, Attorney-In-Fact

12/07/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 43.426 shares of common stock acquired by the reporting person under a dividend reinvestment feature of the account in which the shares are held since the date of his last report.
- Includes the following shares of common stock acquired by the reporting person since the date of his last report: 70.454 net shares

  (2) acquired under the dividend reinvestment feature of The Toro Company Investment, Savings & ESOP (the "IS&ESOP") less quarterly non-discretionary administrative fees; and 57.894 shares acquired through issuer annual investment fund contributions to the IS&ESOP.
- (3) The option vests in three equal annual installments commencing on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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