### Edgar Filing: AMERISOURCEBERGEN CORP - Form 3

## AMERISOURCEBERGEN CORP Form 3 May 23, 2016 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0104

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### (Print or Type Responses)

1. Name and Address of Reporting Person Å Gaddes Kathy H.		2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol AMERISOURCEBERGEN CORP [ABC]					
-	irst) (Middle)	05/20/2016	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)		
	DRIVE <sup>(reet)</sup> DK, PA 19428		Director X Officer (give title belo		Owner	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City) (St	tate) (Zip)	Table I - N	on-Derivat	tive Securiti	es Bei	neficially Owned		
1.Title of Security (Instr. 4)		2. Amount of Beneficially ( (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Natu Owner (Instr.	•		
Common Stock		1,703		D	Â			
Reminder: Report on owned directly or inc	lirectly.	h class of securities beneficia	ally SI	EC 1473 (7-02)	)			
	information contai	ned in this form are not id unless the form displa	iys a					
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								

1. Title of Derivative Security	2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date (Month/Day/Year)		Securities U	nderlying	Conversion	Ownership	Beneficial
			Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative	Security:	
					Security	Direct (D)	
						or Indirect	

January 31,

2005

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Expires:

response...

Estimated average burden hours per

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	11/12/2017	11/12/2017	Common Stock	671	\$ <u>(1)</u>	D	Â
Restricted Stock Units	11/11/2018	11/11/2018	Common Stock	980	\$ <u>(1)</u>	D	Â
Non-qualified Stock Option (Right to Buy)	(2)	03/01/2019	Common Stock	3,276	\$ 37.37	D	Â
Non-qualified Stock Option (Right to Buy)	( <u>3)</u>	11/14/2019	Common Stock	12,636	\$ 40.21	D	Â
Non-qualified Stock Option (Right to Buy)	(4)	11/13/2020	Common Stock	8,690	\$ 68.49	D	Â
Non-qualified Stock Option (Right to Buy)	(5)	11/12/2021	Common Stock	7,754	\$ 88.71	D	Â
Non-qualified Stock Option (Right to Buy)	( <u>6)</u>	11/11/2022	Common Stock	10,232	\$ 98.24	D	Â

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Gaddes Kathy H. 1300 MORRIS DRIVE CHESTERBROOK, PA 19428	Â	Â	Executive Vice President	Â		
Signatures						
John G. Chou for Kathy H. Gaddes	05/0	9/2016				
**Signature of Reporting Person	1	Date				

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Annual grant of restricted stock units received for no consideration and vests 100% on the third anniversary of the date of grant

(2) Exercisable in four equal annual installments on 3/01/13, 3/01/14, 3/01/15 and 3/01/16

(3) Exercisable in four equal annual installments on 11/14/13, 11/14/14, 11/14/15 and 11/14/16

(4) Exercisable in four equal annual installments on 11/13/14, 11/13/15, 11/13/16 and 11/13/17

(5) Exercisable in four equal annual installments on 11/12/15, 11/12/16, 11/12/17 and 11/12/18

(6) Exerciseable in four equal annual installments on 11/11/16, 11/11/17, 11/11/18 and 11/11/19

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.