Stork Ryan Form 4 January 18, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \* Stork Ryan

(Middle)

(Zip)

(First)

BLACKROCK, INC., 55 EAST **52ND STREET** 

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

BlackRock Inc. [BLK]

3. Date of Earliest Transaction

(Month/Day/Year) 01/16/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

below) Senior Managing Director

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10055

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

Form: Direct (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

Common Stock (par 01/16/2018

Value \$0.01 Per Share)

Shares Of

(A) or

Code V Amount (D) Price

1,851

(1)

19,293 (2)

(Instr. 3 and 4)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

### Edgar Filing: Stork Ryan - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                                  | 5.        | 6. Date Exerc       | cisable and        | 7. Titl          | e and          | 8. Price of | 9. Nu  |
|--|-------------|-------------|---------------------|--------------------|-------------------------------------|-----------|---------------------|--------------------|------------------|----------------|-------------|--------|
|  | Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber                   |           | Expiration D        | ate Amou           |                  | nt of          | Derivative  | Deriv  |
|  | Security    | or Exercise |                     | any                | Code                                | of        | (Month/Day/         | Year)              | Under            | lying          | Security    | Secui  |
|  | (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative<br>Securities |           |                     |                    | Securi           | ities          | (Instr. 5)  | Bene   |
|  |             | Derivative  |                     |                    |                                     |           |                     |                    | (Instr. 3 and 4) |                |             | Own    |
|  |             | Security    |                     |                    |                                     | Acquired  |                     |                    |                  |                |             | Follo  |
|  |             | •           |                     |                    |                                     | (A) or    |                     |                    |                  |                |             | Repo   |
|  |             |             |                     |                    |                                     | Disposed  |                     |                    |                  |                |             | Trans  |
|  |             |             |                     |                    | of (D)<br>(Instr. 3,                |           |                     |                    |                  |                |             | (Instr |
|  |             |             |                     |                    |                                     |           |                     |                    |                  |                |             |        |
|  |             |             |                     |                    |                                     | 4, and 5) |                     |                    |                  |                |             |        |
|  |             |             |                     |                    |                                     |           |                     |                    |                  | A              |             |        |
|  |             |             |                     |                    |                                     |           |                     |                    |                  | Amount         |             |        |
|  |             |             |                     |                    |                                     | I (A) (D) | Date<br>Exercisable | Expiration<br>Date | Title            | or<br>Namelana |             |        |
|  |             |             |                     |                    |                                     |           |                     |                    |                  | Number         |             |        |
|  |             |             |                     |                    | C 1 W                               |           |                     |                    |                  | of             |             |        |
|  |             |             |                     |                    | Code V                              | (A) (D)   |                     |                    |                  | Shares         |             |        |

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

Stork Ryan BLACKROCK, INC. 55 EAST 52ND STREET NEW YORK, NY 10055

Senior Managing Director

## **Signatures**

/s/ Daniel R. Waltcher as Attorney-in-Fact for Ryan Stork

01/18/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects an award value of \$1,048,207 converted to a number of Restricted Stock Units by dividing the approved award value by \$566.44, which was the average of the high and low price per share of Common Stock on January 16, 2018. This grant was approved by the **(1)** Management Development and Compensation Committee on January 10, 2018. Restricted Stock Units vest in equal installments on 1/31/19, 1/31/20 and 1/31/21.
- Includes Common Stock and Restricted Stock Units that will vest over a period of 1 to 3 years. Each Restricted Stock Unit is payable solely by delivery of an equal number of shares of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2