

Sandberg Sheryl  
Form 4  
March 06, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Sandberg Sheryl

(Last) (First) (Middle)

C/O FACEBOOK, INC., 1601  
WILLOW ROAD

(Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Facebook Inc [FB]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/02/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                        | Price                                                             |
| Class A<br>Common<br>Stock            | 03/02/2018                              |                                                             | C                                    |                                                                         | 55,000<br>(1)                                                                                                      | A                                                                       | \$ 0                                                              |
|                                       | 03/02/2018                              |                                                             | S(3)                                 |                                                                         | 18,200                                                                                                             | D                                                                       |                                                                   |
|                                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         | 1,527,803                                                         |
|                                       |                                         |                                                             |                                      |                                                                         |                                                                                                                    |                                                                         | 1,509,603                                                         |

By Sheryl  
K.  
Sandberg,  
Trustee of  
Sheryl K.  
Sandberg  
Revocable  
Trust UTA  
dated  
September  
3, 2004 (2)

Edgar Filing: Sandberg Sheryl - Form 4

|                            |            |              |        |   |                              |           |   |                                                                                                                                           |
|----------------------------|------------|--------------|--------|---|------------------------------|-----------|---|-------------------------------------------------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock |            |              |        |   | \$ 173.62<br><u>(4)</u>      |           |   | By Sheryl<br>K.<br>Sandberg,<br>Trustee of<br>Sheryl K.<br>Sandberg<br>Revocable<br>Trust UTA<br>dated<br>September<br>3, 2004 <u>(2)</u> |
| Class A<br>Common<br>Stock | 03/02/2018 | S <u>(3)</u> | 11,080 | D | \$<br>174.5121<br><u>(5)</u> | 1,498,523 | I | By Sheryl<br>K.<br>Sandberg,<br>Trustee of<br>Sheryl K.<br>Sandberg<br>Revocable<br>Trust UTA<br>dated<br>September<br>3, 2004 <u>(2)</u> |
| Class A<br>Common<br>Stock | 03/02/2018 | S <u>(3)</u> | 22,236 | D | \$ 175.491<br><u>(6)</u>     | 1,476,287 | I | By Sheryl<br>K.<br>Sandberg,<br>Trustee of<br>Sheryl K.<br>Sandberg<br>Revocable<br>Trust UTA<br>dated<br>September<br>3, 2004 <u>(2)</u> |
| Class A<br>Common<br>Stock | 03/02/2018 | S <u>(3)</u> | 3,484  | D | \$<br>176.4824<br><u>(7)</u> | 1,472,803 | I | By Sheryl<br>K.<br>Sandberg,<br>Trustee of<br>Sheryl K.<br>Sandberg<br>Revocable<br>Trust UTA<br>dated<br>September<br>3, 2004 <u>(2)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form**

SEC 1474  
(9-02)

**displays a currently valid OMB control number.**

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                                       |                                     |
|--------------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|------------------------------------------------------------------|--------------------|---------------------------------------|-------------------------------------|
|                                                                    |                                                                       |                                         |                                                             | Code                                    | V                                                                                                      | (A)                                                            | (D) | Date<br>Exercisable                                              | Expiration<br>Date | Title                                 | Amount<br>or<br>Number<br>of Shares |
| Stock<br>Option<br>(Right to<br>Buy<br>Class B<br>Common<br>Stock) | \$ 15                                                                 | 03/02/2018                              |                                                             | M                                       |                                                                                                        | 55,000                                                         |     | <u>(8)</u>                                                       | 10/17/2020         | Class B<br>Common<br>Stock <u>(9)</u> | 55,000                              |
| Class B<br>Common<br>Stock <u>(9)</u>                              | <u>(9)</u>                                                            | 03/02/2018                              |                                                             | M                                       |                                                                                                        | 55,000                                                         |     | <u>(9)</u>                                                       | <u>(9)</u>         | Class A<br>Common<br>Stock            | 55,000                              |
| Class B<br>Common<br>Stock <u>(9)</u>                              | <u>(9)</u>                                                            | 03/02/2018                              |                                                             | C                                       |                                                                                                        | 55,000<br><u>(11)</u>                                          |     | <u>(9)</u>                                                       | <u>(9)</u>         | Class A<br>Common<br>Stock            | 55,000                              |

## Reporting Owners

| Reporting Owner Name / Address                                                    | Relationships |           |                         |       |
|-----------------------------------------------------------------------------------|---------------|-----------|-------------------------|-------|
|                                                                                   | Director      | 10% Owner | Officer                 | Other |
| Sandberg Sheryl<br>C/O FACEBOOK, INC.<br>1601 WILLOW ROAD<br>MENLO PARK, CA 94025 | X             |           | Chief Operating Officer |       |

## Signatures

/s/ Michael Johnson as attorney-in-fact for Sheryl K. Sandberg

03/06/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents the number of shares that were acquired upon the conversion of Class B Common Stock to Class A Common Stock in connection with the exercise of the stock option listed in Table II.

(2) Shares held of record by Sheryl K. Sandberg, Trustee of Sheryl K. Sandberg Revocable Trust UTA dated September 3, 2004.

(3) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the holder.

(4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$173.03 to \$174.00 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(5) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$174.04 to \$174.99 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(6) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$175.05 to \$176.04 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(7) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$176.07 to \$176.95 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(8) The option vests as to (a) 260,000 shares in equal monthly installments over 48 months, beginning on May 1, 2013 and then (b) the remaining 940,000 shares vest in equal monthly installments over the following 12 months, subject to continued service through each vesting date.

(9) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.

(10) Options held of record by Sheryl K. Sandberg, Trustee of Sheryl K. Sandberg Revocable Trust UTA dated September 3, 2004.

(11) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.