Edgar Filing: Hawkins Mark J - Form 4

Hawkins M Form 4	lark J									
August 27,								OMB AF	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check t if no los	nger							Expires:	January 31, 2005	
subject to Section 16. Form 4 or			F CHANGES IN BENEFICIAL OWNERS SECURITIES					Estimated a burden hour response	verage	
Form 5 obligati may con <i>See</i> Inst 1(b).	ons ntinue. Section 17((a) of the Pub	tion 16(a) of t olic Utility Ho the Investmen	lding Co	mpa	ny Act of 1	Act of 1934, 935 or Section			
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> Hawkins Mark J							5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle) 3.	3. Date of Earliest Transaction (Cl				(Спеск	eck all applicable)		
	DMARK @ ONE STREET, SUITE	08	(onth/Day/Year) 2/26/2018				Director _X Officer (give t elow) Presic		Owner r (specify	
	(Street)	Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SAN FRA	NCISCO, CA 941	05				P	Form filed by Mo erson	ore than One Rej	porting	
(City)	(State)	(Zip)	Table I - Non-	-Derivativ	e Secı	ırities Acqui	red, Disposed of,	or Beneficiall	y Owned	
(Instr. 3) any		Execution Dat	Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)			(D)	SecuritiesOwnershipBeneficiallyForm:OwnedDirect (D)Followingor IndirectReported(I)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	08/26/2018		М	1,876	A	\$ 0	26,242	D		
Common Stock	08/27/2018		S	942	D	\$ 151.5333	25,300	D		
Common Stock	08/27/2018		M <u>(1)</u>	8,625	A	\$ 59.64	33,925	D		
Common	00/07/0010		M (1)	2 215	٨	¢ 75 57	27.240	D		

M(1)

M(1)

3,315 A

4,905 A

\$ 75.57

\$ 80.99

37,240

42,145

D

D

08/27/2018

08/27/2018

Stock

Stock

Common

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Common Stock	08/27/2018	S <u>(1)</u>	7,000	D	\$ 150.8617 (2)	35,145	D
Common Stock	08/27/2018	S <u>(1)</u>	7,100	D	\$ 151.4573 (3)	28,045	D
Common Stock	08/27/2018	S <u>(1)</u>	2,745	D	\$ 154.4824 (4)	25,300	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number 6. Date Exercisable and mof Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration Date		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Ar or Nu of Sh	
Restricted Stock Units	\$ 0 <u>(5)</u>	08/26/2018		М	1,876	08/26/2015 <u>(6)</u>	08/26/2018	Common Stock	1	
Non-qualified Stock Option (Right to Buy)	\$ 59.64	08/27/2018		M <u>(1)</u>	8,625	08/26/2015 <u>(7)</u>	08/26/2021	Common Stock	8	
Non-qualified Stock Option (Right to Buy)	\$ 75.57	08/27/2018		M <u>(1)</u>	3,315	11/22/2017 <u>(8)</u>	11/22/2023	Common Stock	3	
Non-qualified Stock Option (Right to Buy)	\$ 80.99	08/27/2018		M <u>(1)</u>	4,905	11/22/2016 <u>(9)</u>	11/22/2022	Common Stock	4	

Reporting Owners

Reporting Owner Name / Address

Relationships

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Director 10% Owner Officer

Other

President and CFO

Hawkins Mark J THE LANDMARK @ ONE MARKET STREET SUITE 300 SAN FRANCISCO, CA 94105

Signatures

/s/ Scott Siamas, attorney-in-fact for Mark Hawkins

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to a 10b5-1 Plan.

Weighted average price. These shares were sold in multiple transactions at prices ranging from \$150.2400 to \$151.2300 inclusive. The
 (2) reporting person undertakes to provide the issuer, any security holder of the issuer, or the staff of the Securities and Exchange
 Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

Weighted average price. These shares were sold in multiple transactions at prices ranging from \$151.2400 to \$151.8300 inclusive. The
 (3) reporting person undertakes to provide the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

Weighted average price. These shares were sold in multiple transactions at prices ranging from \$154.0000 to \$154.5600 inclusive. The
(4) reporting person undertakes to provide the issuer, any security holder of the issuer, or the staff of the Securities and Exchange

Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth above.

- (5) Restricted stock units convert to shares of common stock on a one-for-one basis.
- (6) These restricted stock units vest as to 25% of the original grant on August 26, 2015 and vest as to 1/16 of the original grant quarterly thereafter.
- (7) Option vests over four years at the rate of 25% on August 26, 2015, the first anniversary of the holder's date of grant, with the balance vesting in equal monthly installments over the remaining 36 months.
- (8) Option vests over four years at the rate of 25% on November 22, 2017, the first anniversary of the holder's date of grant, with the balance vesting in equal monthly installments over the remaining 36 months.
- (9) Option vests over four years at the rate of 25% on November 22, 2016, the first anniversary of the holder's date of grant, with the balance vesting in equal monthly installments over the remaining 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

08/27/2018

Date