Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

SYNCHRONOSS TECHNOLOGIES INC Form 4

Common

Common

Stock

Stock

12/15/2016

12/15/2016

December 19	9, 2016										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION							OMB APPROVAL				
	Washington, D.C. 20549							OMB Number:	3235-0287		
Check th if no long	ter.								Expires:	January 31, 2005	
subject to Section 1 Form 4 o	.6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSH SECURITIES							Estimated a burden hour response	verage	
Form 5 obligatio may cont <i>See</i> Instru 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940										
(Print or Type I	Responses)										
Hopkins Thomas J Symbol				uer Name and Ticker or Trading l CHRONOSS				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			TECHN	IOLOGIE	ES INC [S	SNCF	۲]	(Cheel	k an appneable)	
				Date of Earliest Transaction onth/Day/Year)				X_Director10% Owner Officer (give titleOther (specify below)below)			
89 HEADQ NORTH, SI	UARTERS PLA JITE 336	ZA	12/15/2	016					0010 (())		
			ndment, Date Original th/Day/Year)				6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person				
MORRISTO	OWN, NJ 07960							Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	Derivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		n Date, if	3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	12/15/2016			М	10,000	А	\$ 14	45,799	D		
Common Stock	12/15/2016			М	10,000	А	\$ 14	55,799	D		

\$ 15.89

\$ 41.65 63,299

35,799

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

М

S

7,500

27,500 D

А

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeriv Secu Acqu or Di (D)	rities hired (A) sposed of :. 3, 4,	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (Right to Buy)	\$ 14	12/15/2016		М		10,000	02/03/2007 <u>(1)</u>	01/03/2017	Common Stock	10,000
Stock Option (Right to Buy)	\$ 14	12/15/2016		М		10,000	02/03/2007(1)	01/03/2017	Common Stock	10,000
Stock Option (Right to Buy)	\$ 15.89	12/15/2016		М		7,500	01/05/2011(2)	01/05/2017	Common Stock	7,500

Reporting Owners

Reporting Owner Name / AddressRelationshipsDirector10% OwnerOfficerOtherHopkins Thomas J
89 HEADQUARTERS PLAZA NORTH
SUITE 336XYYYSUITE 336XYYYYSignaturesYYYYY

/s/ Thomas J. Hopkins	12/19/2016			
<u>**</u> Signature of Reporting Person	Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option shall become exercisable with respect to 1/12th of the shares subject to the options when the Reporting Person completes each month of service after January 3, 2007.
- (2) The option shall become exercisable with respect to 1/3 of the shares subject to the options when the Reporting Person completes each month of service after January 5, 2010.

Remarks:

All of the sales reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.