#### ABM INDUSTRIES INC /DE/

Form 4

January 12, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Marano Thomas J

2. Issuer Name and Ticker or Trading

Symbol

ABM INDUSTRIES INC /DE/

[ABM]

(Last) (First) (Middle)

(State)

3. Date of Earliest Transaction

01/10/2017

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Zip)

(Month/Day/Year)

X\_ Officer (give title below)

10% Owner Other (specify

Pres - Air Serv

ONE LIBERTY PLAZA, 7TH **FLOOR** 

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10006

(City)

Tube 1 Tion Bettivative Becariates required, Bisposed of, or Beneficiany Switch									
2. Transaction Date	2A. Deemed	3.	4. Securities		5. Amount of	6. Ownership	7. Nature of		
(Month/Day/Year)	Execution Date, if	TransactionAcquired (A) or			r	Securities	Form: Direct	Indirect	
	any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial	
	(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership	
						Following	(Instr. 4)	(Instr. 4)	
						Reported			
						Transaction(s)			
		~		or		(Instr. 3 and 4)			
		Code V		(D)	Price	,			
01/10/2017		٨	2,133	٨	٠.٥	10 750	D		
01/10/2017		А	(1)	A	ΦU	10,730	D		
01/10/2017		٨	2,145	٨	٠.٥	20.805 (3)	D		
01/10/2017		A	(2)	A	φU	20,693 <u>(5)</u>	D		
		2. Transaction Date (Month/Day/Year)  2A. Deemed Execution Date, if any (Month/Day/Year)  01/10/2017	2. Transaction Date   2A. Deemed   3.   Transaction Date   Execution Date, if any (Month/Day/Year)   Code   (Instr. 8)    Code V   01/10/2017   A	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  Code Disposed (Instr. 8)  Code V Amount 2,133  (1)  2,145	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  2. TransactionAcquired (A) or Code Disposed of (D (Instr. 8))  (Instr. 8)  (A) or Code V Amount (D)  A (1) A (1) A (2,145)	2. Transaction Date (Month/Day/Year)    Execution Date, if any (Month/Day/Year)    (Month/Day/Year)    (Month/Day/Year)    Execution Date, if any (Month/Day/Year)    (Month/Day/Year)    (A) or Code V Amount (D) Price    A    2,145 A \$ 0	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  2. Transaction Date (A) or Code (Disposed of (D) (Instr. 3, 4 and 5)  2. Transaction Date (A) or Code (Disposed of (D) (Instr. 3, 4 and 5)  2. Transaction Date (A) or Code (Disposed of (D) (Instr. 3, 4 and 5)  2. Transaction Date (A) or Code (Disposed of (D) (Instr. 3, 4 and 5)  2. Transaction Date (A) or Code (Disposed of (D) (Instr. 3, 4 and 5)  3. 4. Securities (Beneficially (Instr. 3, 4 and 5)  3. 4. Securities (Beneficially (Instr. 3, 4 and 5)  3. 4. Securities (Beneficially (Instr. 3, 4 and 5)  3. 4. Securities (Beneficially (Instr. 3, 4 and 5)  3. 4. Securities (Beneficially (Instr. 3, 4 and 5)  4. Securities (Instr. 3, 4 and 5)  4. Securities (Beneficially (Instr. 3, 4 and 5)  4. Securities (Instr. 3, 4 and 5)  4. Securities (Beneficially (Instr. 3, 4 and 5)  4. Securities (Instr. 3, 4 and 5)  4. Securities (Instr. 3, 4 and 5)  4. Securities (Instr. 3, 4 and 5)  5. Amount of Securities (Instr. 3, 4 and 5)  6. And (Instr. 3, 4 and 5)  7. And (Instr. 3, 4 and 5)  8. And (Instr. 3, 4 an	2. Transaction Date (Month/Day/Year)    2. Transaction Date (Month/Day/Year)    (Instr. 8)    (Instr. 3, 4 and 5)    (A)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	e Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities	S		(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration	T:41-	or Namel		
						Exercisable	Date		Number		
				C-1- V	(A) (D)				of		
				Code v	(A) (D)				Shares		

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Marano Thomas J ONE LIBERTY PLAZA 7TH FLOOR NEW YORK, NY 10006

Pres - Air Serv

## **Signatures**

By: Barbara L. Smithers, by power of attorney

01/12/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the number of shares earned in connection with the performance shares previously granted on 1/14/2014, based on **(1)** achievement of certain targets in the period ending 10/31/2016. These performance shares vest on 1/14/2017.
- Represents the number of shares earned in connection with the TSR performance shares previously granted on 9/8/2014, based on TSR performance in the 3 year period ending 10/31/2016 as compared to the S&P 600. These awards vest on 9/8/2017.
- Includes 13,068 RSUs and DERS relating to the RSUs, adjusted to reflect the cumulative effect of fractional shares, 4,149 performance shares earned but not vested with respect to performance shares granted on 1/14/2014, 2,145 performance shares earned but not vested with respect to TSR performance shares granted on 9/8/2014, 549 performance shares earned but not vested with respect to performance shares granted on 1/15/2015, and DERs related thereto.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2