Fraccaro Michael Form 4/A May 30, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Stock Class A

Common

04/10/2018

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Fraccaro Michael Issuer Symbol Mastercard Inc [MA] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 2000 PURCHASE STREET 04/09/2018 below) below) Chief Human Resources Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 04/11/2018 Form filed by More than One Reporting PURCHASE, NY 10577 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) Ownership (Instr. 8) Owned (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Class A 1,807 Common \$ 90.13 5,353.148 D 04/09/2018 M A (1) Stock Class A 2,274 D Common 04/09/2018 S 3,079.148 D 171.39 Stock Class A 2,186 Common 04/10/2018 M A \$ 90.1 5,265.148 D (1)

S

2,186

(1)

D

\$ 175

3,079.148

D

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

### Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right ro buy)	\$ 90.13	04/09/2018		M	1,807 (1)	(2)	03/01/2025	Class A Common Stock	1,807
Employee Stock Option (right ro buy)	\$ 90.1	04/10/2018		M	2,186 (1)	(3)	03/01/2026	Class A Common Stock	2,186

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
Neboling Owner Maine / Address	

Director 10% Owner Officer Other

Fraccaro Michael

2000 PURCHASE STREET Chief Human Resources Officer

PURCHASE, NY 10577

## **Signatures**

/s/ Craig Brown, as attorney-in-fact for Michael Fraccaro pursuant to a power of attorney dated July 11, 2016

05/30/2018

\*\*Signature of Reporting Person

Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions reported in this Form 4 were effected pursuant to a pre-planned trading plan entered into in accordance with Rule 10b5-1 of the Securities Exchange Act of 1934. The pre-planned trading plan was adopted by the reporting person on February 22, 2018
- (2) The reporting person was awarded 7,228 employee stock options on March 1, 2015, of which 5,421 have vested. The remaining 1,807 employee stock options will vest on March 1, 2019.
- (3) The reporting person was awarded 8,744 employee stock options on March 1, 2016, of which 4,372 have vested. The remaining 4,372 employee stock options will vest in two equal annual installments beginning on March 1, 2019.

#### **Remarks:**

This amendment is being filed solely to correct the number of shares sold on April 9, 2018 (Table I Column 4), as well as the process of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.