### Edgar Filing: FLOTEK INDUSTRIES INC/CN/ - Form 5

#### FLOTEK INDUSTRIES INC/CN/

Form 5

Common

Stock

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08/10/2007

 $S4^{(3)}$ 

8,000

D

504,376 (4) D

February 17, 2009

**OMB APPROVAL** FORM 5

#### **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer **DUMAS JERRY D SR** Symbol FLOTEK INDUSTRIES INC/CN/ (Check all applicable) [FTK] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director 10% Owner \_X\_\_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2008 CEO & Chairman 2930 W. SAM HOUSTON PARKWAY N., STE. 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) HOUSTON. TXÂ 77043 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction (A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and or 4) Amount (D) Price Common Saxton River Â 12/21/2006 $G^{(1)}$ 18,096 (5) 2,000 D \$0 Ι Stock Corporation Common Saxton River 10/17/2007 $G^{(1)}$ \$0 $18,096^{(2)}$ 1,000 D Stock Corporation

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Of D So B

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						
	·				(A) or						
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
					, ,						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
									of		
					(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
DUMAS JERRY D SR 2930 W. SAM HOUSTON PARKWAY N., STE. 300 HOUSTON, TX 77043	ÂX	Â	CEO & Chairman	Â		

### **Signatures**

/s/ Jerry D.
Dumas, Sr.

\*\*Signature of Reporting Person

O2/17/2009

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was not previously reported on a Form 5.
  - As a result of typographical and mathematical errors in prior filings on Forms 3,4 and/or 5, the total amount of securities indirectly owned beneficially by the reporting person was misstated in certain prior filings. Upon the filing of this Form 5, all prior transactions have been
- (2) reported and the number of shares indirectly owned beneficially by the reporting person are correctly stated in this Form 5. At the end of the Issuer's fiscal year, the reporting person had total indirect ownership of 44,096 shares, consisting of 18,096 held by Saxton River Corporation and 26,000 held by Dora Tes Basileas Foundation.
- (3) This transaction was not previously reported on a Form 4.
- As a result of typographical and mathematical errors in prior filings on Forms 3,4 and/or 5, the total amount of securities directly owned (4) beneficially by the reporting person was misstated in certain prior filings. Upon the filing of this Form 5, all prior transactions have been reported and the number of shares directly owned beneficially by the reporting person are correctly stated in this Form 5.

Reporting Owners 2

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#### (5) See following entry.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.