#### NEWMONT MINING CORP /DE/

Form 4

March 01, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* MacGowan William N

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol **NEWMONT MINING CORP / DE/** 

(Check all applicable)

[NEM]

(Middle)

3. Date of Earliest Transaction

Director 10% Owner \_X\_\_ Officer (give title Other (specify

(Month/Day/Year)

02/26/2016

EVP, Human Resources

6363 SOUTH FIDDLERS GREEN **CIRCLE** 

(First)

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**GREENWOOD** VILLAGE, CO 80111

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secui	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	oror Dispose (Instr. 3, 4	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$1.60 par value	02/26/2016		F	1,060 (1)	D	\$ 25.6775	96,785	D	
Common Stock, \$1.60 par value	02/29/2016		A	14,892	A	\$ 0	111,677	D	
Common Stock,	02/29/2016		F	4,763 (2)	D	\$ 26.015	106,914	D	

#### Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

\$1.60 par value Common

Stock, \$1.60 par

1,034 (3)

I

7. Title and

Amount of

Underlying

Securities

(Instr. 3 and 4)

By 401(k) Plan

8. Price of

Derivative

Security

(Instr. 5)

9. Nu

Deriv

Secu

Bene

Owner Follo Repo Trans (Insti

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	TransactionNumber		Expiration Date	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	•		
	Derivative				Securities			
	Security				Acquired			
					(A) or			
					Disposed			
					of (D)			
					(Instr. 3,			
					4, and 5)			
						Date	Expiration	
						Exercisable	Date	

Amount

or Numb

Title Number of

of Shares

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner

Code V (A) (D)

Officer EVP.

Other

MacGowan William N 6363 SOUTH FIDDLERS GREEN CIRCLE GREENWOOD VILLAGE, CO 80111

Human Resources

### **Signatures**

Logan H. Hennessey, as attorney-in-fact for William N. MacGowan

03/01/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

#### Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

- (1) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 3,313 stock-settled restricted stock units.
- (2) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 14,892 stock-settled restricted stock units.
  - The reported shareholding is based on the Company's estimation of the share value correlated with the number of units in the fund. As of February 29, 2016, the reporting person held 1,034 shares or 3,785 units of Newmont Mining common stock in his 401(k) Plan.
- Fluctuation in share estimate since the filing of the last Form 4 reflects changes in the stock price rather than investments or dispositions of units within the stock fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.