

Edgar Filing: HARDCASTLE WILLIAM R JR - Form 4

HARDCASTLE WILLIAM R JR  
Form 4  
September 09, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

Hardcastle, William R., Jr.

-----  
(Last)

(First)

(Middle)

300 Crescent Court, Suite 1300

-----  
(Street)

Dallas, Texas 75201

-----  
(City)

(State)

(Zip)

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2. Issuer Name and Ticker or Trading Symbol

Westwood Holdings Group, Inc. ("WHG")

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

August 2002

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director

10% Owner

Officer (give title below)

Other (specify below)

Vice President, Treasurer

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7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person

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[ ] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

6. 5. or Beneficially ----- 1. of Month Title of Security Price (Instr. 3) and 4)	Owner- Amount of Form: Direct Owned at End Indirect Indirect (Instr. 3) (Instr.4)	ship 7. Nature of (D) or Beneficial (I)	2. Transaction Date (mm/dd/yy)	3. Code (Instr. 8) Code	4. Securities Acquired (A) Disposed of (D) (Instr. 3, 4 and 5) ----- (A) Amount or (D)
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Common Stock			8/20/02	P	700	A
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Common Stock			8/20/02	P	100	A
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Common Stock			8/20/02	P	100	A
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\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

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(Instr. 4)

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(Instr. 8)

Owner-

ship

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(Instr. 4)

5.

Number of

Derivative

Securities

Acquired (A)

or Disposed

of (D)

(Instr. 3,

4 and 5)

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(A)

(D)

6.

Date

Exercisable and

Expiration Date

(Month/Day/Year)

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Date

Expira-

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Date

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7.

Title and

of

Securities

(Instr. 3

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Title

Explanation of Responses:

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- (1) Acquisition of shares for daughter in UTMA account  
for which William R. Hardcastle, Jr. serves as custodian
- (2) Acquisition of shares for son in UTMA account  
for which William R. Hardcastle, Jr. serves as custodian

/s/ William R. Hardcastle, Jr.

9/9/02

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\*\*Signature of Reporting Person

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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