

AECOM TECHNOLOGY CORP

Form 4

March 07, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
NEWMAN RICHARD G

(Last) (First) (Middle)

C/O AECOM TECHNOLOGY
CORPORATION, 555 S. FLOWER
STREET, SUITE 3700

(Street)

LOS ANGELES, CA 90071

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
AECOM TECHNOLOGY CORP
[ACM]

3. Date of Earliest Transaction
(Month/Day/Year)
03/05/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/06/2014		M		3,537	A	<u>(1)</u>	265,117	I	by R&C Newman Revocable Trust
Common Stock	03/07/2014		S <u>(2)</u>		45,000	D	\$ 32.0264 <u>(3)</u>	95,160	I	by RGN 2012 Spousal Trust
Common Stock	03/07/2014		G <u>(4)</u>	V	5,636	D	\$ 0	11,272	I	by R&C Newman

Edgar Filing: AECOM TECHNOLOGY CORP - Form 4

Common Stock	03/07/2014	G	V	5,636	D	\$ 0	5,636	I	Partnership LP by R&C Newman Partnership LP
Common Stock	03/07/2014	G	V	5,636	D	\$ 0	0	I	by R&C Newman Partnership LP
Common Stock	03/07/2014	<u>G</u> ⁽⁴⁾	V	5,636	A	\$ 0	15,636	I	by John E. Newman (son)
Common Stock							14,931	I	by C&R Newman Family Foundation
Common Stock							39,145	I	by Richard G Newman TTEE U/A DTD 8/15/2011 Richard G Newman 2011 GRAT
Common Stock							39,145	I	by Christine H Newman TTEE U/A DTD 8/15/2011 Christine H Newman 2011 GRAT
Common Stock							43,777	I	by Christine H Newman TTEE U/A DTD 12/15/2010 Christine H Newman 2010 GRAT
							43,777	I	

Common
Stockby Richard
G Newman
TTEE U/A
DTD
12/15/2010
Richard G
Newman
2010
GRAT

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.SEC 1474
(9-02)**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)	03/06/2014		M		3,537		(1)	(1)	Common Stock	3,537
Restricted Stock Units	(5)	03/05/2014		A		4,112		(6)	(6)	Common Stock	4,112
Employee Stock Option	\$ 23.94							(7)	12/01/2015	Common Stock	49,112
Employee Stock Option	\$ 24.45							(8)	12/02/2016	Common Stock	15,900
Employee Stock Option	\$ 25.38							03/31/2011	05/28/2017	Common Stock	14,500
	\$ 28.44							03/03/2012	03/03/2018		13,400

Employee
Stock
Option

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
NEWMAN RICHARD G C/O AECOM TECHNOLOGY CORPORATION 555 S. FLOWER STREET, SUITE 3700 LOS ANGELES, CA 90071	X			

Signatures

/s/ David Y. Gan, Attorney-in-Fact for Richard G.
Newman

03/07/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Each restricted stock unit represents a contingent right to receive one share of AECOM common stock. On March 6, 2014, 3,537 of the reporting person's restricted stock units vested and were settled for an equal number of shares of AECOM common stock.
- (2) The sales in this Form 4 were made pursuant to a 10b5-1 trading plan adopted on December 13, 2013.
This transaction was executed in multiple trades at prices ranging from \$32.00 to \$ 32.12. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) This transaction involved a gift of securities by the reporting person to his son, who shares the reporting person's household. The reporting person disclaims beneficial ownership of the shares held by his son, and this report should not be deemed an admission that the reporting person is the beneficial owner of his son's shares for purposes of Section 16 or for any other purpose.
- (4) Each restricted stock unit represents a contingent right to receive one share of AECOM common stock.
- (5) The restricted stock units vest on March 7, 2015.
- (6) The option vested in three equal annual installments beginning on December 1, 2009.
- (8) The option vested in three equal annual installments beginning on December 2, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.