

TCW STRATEGIC INCOME FUND INC

Form 4

October 17, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Tarica Andrew

2. Issuer Name and Ticker or Trading
Symbol
TCW STRATEGIC INCOME
FUND INC [TSI]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
07/09/2014

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

TCW STRATEGIC INCOME
FUND, INC., 865 S. FIGUEROA
ST, STE 1800

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

LOS ANGELES, CA 90017

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	10/15/2014		P		5,000 A \$ 5.38	10,000 ⁽²⁾	D
Common Stock	10/14/2014		P	0	A \$ 0 ⁽¹⁾	4,000	I Zachary Tarica Trust
Common Stock	10/14/2014		P	0	A \$ 0 ⁽¹⁾	4,000	I Jeremy Tarica Trust
Common	10/14/2014		P	0	A \$ 0	4,000	I Jaclyn

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Stock					<u>(1)</u>				Tarica Trust
Common Stock	10/14/2014	P	0	A	\$ <u>0</u> <u>(1)</u>	4,000	I		2005 ESC Trust
Common Stock	07/09/2014	P	0	A	\$ <u>0</u> <u>(1)</u>	5,000 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Tarica Andrew
TCW STRATEGIC INCOME FUND, INC.
865 S. FIGUEROA ST, STE 1800
LOS ANGELES, CA 90017

X

Signatures

Patrick Dennis for Andrew Tarica by POA dtd
5/20/13

10/17/2014

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) No transaction occurred in Common Stock owned directly by Reporting Person.

(2) Joint Tenant with Spouse.

(3) IRA

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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