OLD SECOND BANCORP INC

Form 4

March 08, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or

1(b).

Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Ladowicz John

(Street)

(State)

(First) (Middle)

(Zip)

37 S. RIVER ST.

(Last)

(City)

Common Stock

2. Issuer Name and Ticker or Trading

Symbol

OLD SECOND BANCORP INC [OSBC]

3. Date of Earliest Transaction (Month/Day/Year)

03/08/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(Check all applicable)

X_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person

AURORA, IL 60506

1.Title of 2. Transaction Date 2A. Deemed 7. Nature of 3. 4. Securities Acquired (A) 5. Amount of 6. Execution Date, if Security (Month/Day/Year) Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial Direct (D) (Month/Day/Year) (Instr. 8) Owned Ownership or Indirect (Instr. 4) Following Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Old Second Bancorp, 03/08/2017 S 1.385 **IRA** D \$ 11.3 $273,077 \stackrel{(1)}{=}$ I Inc. Common Stock Old Second Bancorp, 03/07/2017 S 16,446 D 274,462 Ι **IRA** Inc.

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Old

Second

co-trustee Bancorp, 13,900 Ι with Inc. spouse

Common Stock

Old

Second Bancorp,

 $12,146^{(2)}$ D Inc.

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Shares

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. orNumber	6. Date Exerci Expiration Da		7. Title and A Underlying S		8. P Der
Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	Derivative Securities Acquired A) or Disposed Of (D)				Sec (Ins
					4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number	

Employee

Stock Common Option 02/17/2010 02/17/2019 \$ 7.49 1,500 Stock

Code V (A) (D)

Right to Buy

Reporting Owners

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

Ladowicz John X 37 S. RIVER ST.

Reporting Owners 2 AURORA, IL 60506

Signatures

/s/ John Ladowicz 03/08/2017

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Included in this total are 250,350 shares held by Mr. Ladowicz in a ROTH IRA account and 22,727 shares held in Trust in an IRA account.
- (2) Included in this total are 2,146 shares in Mr. Ladowicz' name outright and 10,000 shares of restricted stock units in Mr. Ladowicz' name. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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