## FREDRICKSON ROBERT A

Form 4

February 12, 2003

SEC Form 4

# FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . . . . 0.5

1. Name and Address of Reporting Person\*
Fredrickson, Robert A.

(Last) (First)
(Middle)

ADTRAN
901 Explorer Blvd.

(Street)

Huntsville, AL 35806

(City) (State)
(Zip)

2. Issuer Name and Ticker or Trading Symbol

#### ADTRAN, Inc. ADTN

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 4. Statement for (Month/Day/Year

02/11/2003

5. If Amendment, Date of Original (Month/Day/Year) 6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_ Director \_\_10% Owner <u>X</u> Officer (give title below) \_\_ Other (specify below)

Description VP S

VP Sales, CN

7. Individual or Joint/Group Filing (Check Applicable Line)

 $\underline{X}$  Form filed by One Reporting Person

\_ Form filed by More than One Reporting Person

	7	able I - Non-Deriva	tive Sec	curi	ties Acqui	red, D	isposed o	of, or Beneficially	Owned	
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		4. Securities Acquired n(A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following	6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership
			Code	V	Amount	A/D	Price	Reported Transaction(s)  (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock	02/11/2003		М		3,000	А	\$19.438			
Common Stock	02/11/2003		s		3,000	D	\$35.468			
Common Stock	02/11/2003		М		15,000	Α	\$17.39			
Common Stock	02/11/2003		s		15,000	D	\$35.468			
Common Stock	02/11/2003		s		900	D	\$35.172	4,000	D	

## Edgar Filing: FREDRICKSON ROBERT A - Form 4

Stock	02/11/2003		S	2	2,000	D	\$35.38	8 2,000	I	Stacie Fredrickson		
Common Stock	02/11/2003		s	1	,000	D	\$35.30	1,000	ı	by Daughter Brooke Fredrickson		
				Table				ties Acquired, Dis warrants, options,			rned	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/	4. Transac Code (Instr	tionDe	Securit quired or Disp	eies (A)	6. Date Exercisable and Expiration Date(I (Month/Day/Yea	ED) Se	Title and Amount Underlying ecurities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.5)	9

(Instr. 3, 4

D

15,000

3,000

and 5)

Α

٧

Code

М

М

**Explanation of Responses:** 

\$17.39

\$19.438

Non-Qualified Stock Option

Non-Qualified Stock Option

(Right to

(Right to

Buy)

Buy)

By:

## /s/ Robert A. Fredrickson

02/12/2003

Date:

DE

08/30/2002

01/04/2003

ED

07/12/2010

01/04/2009

\*\* Signature of Reporting Person

SEC 1474 (9-02)

Title

Common

Stock

Common

Stock

by Daughter

Amount or Number of

Shares

15,000

3,000

\$

\$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Day/ Year)

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

02/11/2003

02/11/2003

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.