

APPLIED MATERIALS INC /DE

Form 3

April 04, 2005

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Kerschbaum Manfred

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/23/2005

3. Issuer Name and Ticker or Trading Symbol

APPLIED MATERIALS INC /DE [AMAT]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Senior Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting PersonC/O APPLIED MATERIALS,  
INC.,Â P.O. BOX 58039, 3050  
BOWERS AV, M/S 2023

(Street)

SANTA CLARA,Â CAÂ 95054

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

1,500

D

Â

Common Stock

5,820.19

I

By 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security4. Conversion  
or Exercise5. Ownership  
Form of6. Nature of Indirect  
Beneficial  
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Employee Stock Option (Right to Buy)	07/15/2002	12/14/2005	Common Stock	88,000	\$ 9.7813	D	Â
Employee Stock Option (Right to Buy)	07/15/2003	10/19/2006	Common Stock	108,000	\$ 18.6875	D	Â
Employee Stock Option (Right to Buy)	07/15/2004	10/18/2007	Common Stock	54,000	\$ 21.0313	D	Â
Employee Stock Option (Right to Buy)	12/13/2004	12/13/2007	Common Stock	16,000	\$ 21.345	D	Â
Employee Stock Option (Right to Buy)	07/15/2005	04/03/2008	Common Stock	60,000	\$ 20.0625	D	Â
Employee Stock Option (Right to Buy)	Â <u>(1)</u>	10/19/2008	Common Stock	40,000	\$ 16.275	D	Â
Employee Stock Option (Right to Buy)	07/15/2006	10/19/2008	Common Stock	70,000	\$ 16.275	D	Â
Employee Stock Option (Right to Buy)	07/15/2007	11/19/2009	Common Stock	35,000	\$ 15.07	D	Â
Employee Stock Option (Right to Buy)	07/15/2004	11/19/2009	Common Stock	15,000	\$ 15.07	D	Â
Employee Stock Option (Right to Buy)	Â <u>(2)</u>	12/11/2009	Common Stock	95,000	\$ 14.57	D	Â
Employee Stock Option (Right to Buy)	Â <u>(3)</u>	07/11/2010	Common Stock	40,000	\$ 17.39	D	Â
Employee Stock Option (Right to Buy)	07/15/2007	10/29/2010	Common Stock	100,000	\$ 22.58	D	Â
Employee Stock Option (Right to Buy)	07/15/2008	10/29/2010	Common Stock	200,000	\$ 22.58	D	Â
Employee Stock Option (Right to Buy)	Â <u>(4)</u>	11/03/2011	Common Stock	125,000	\$ 16.12	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kerschbaum Manfred C/O APPLIED MATERIALS, INC. P.O. BOX 58039, 3050 BOWERS AV, M/S 2023 SANTA CLARA, CA 95054	Â	Â	Â Senior Vice President	Â

## Signatures

/s/ Charmaine Mesina,  
Attorney-In-Fact

04/04/2005

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 10,000 shares became exercisable on 7/15/03, 20,000 shares became exercisable on 7/15/04 and 10,000 shares become exercisable on 7/15/05 (assuming continued employment).
- (2) 30,000 shares become exercisable on 7/15/06 and 65,000 shares become exercisable on 7/15/07 (assuming continued employment).
- (3) 20,000 shares become exercisable each on 7/15/05 and 7/15/06 (assuming continued employment).
- (4) 31,250 shares become exercisable each on 7/15/05, 7/15/06, 7/15/07 and 7/15/08 (assuming continued employment).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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