Edgar Filing: BARTZ CAROL - Form 4

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| Form 4 | | | | | | | | | | | |
|--|---|--|--------------------------------------|--|--|---|--------------|--|------------------------------|---|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | | OMB APPROVAL | | |
| | UNITE | | RITIES A shington | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | | |
| Check th if no lon subject to Section 2 Form 4 c Form 5 | ger STATE o STATE 16. or | ATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES led pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | | January 31, 2005 verage 's per 0.5 | |
| obligatio may con <i>See</i> Instr 1(b). | tinue. Section 17 | 7(a) of the | Public U | | ding Con | npany | y Act of | 1935 or Section | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and A BARTZ CA | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Che | | | | | | ck all applicable) | | |
| 111 MCINNIS PARKWAY | | | | | | | | _X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Chairman, President and CEO | | | |
| F | | | | endment, D nth/Day/Yea | - | 1 | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| SAN RAFA | AEL, CA 94903 | | | | | | | Person | ore than one Re | porting | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Secur | ities Acqu | iired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date 2A. Dee (Month/Day/Year) Execution any (Month/ | | | 3. Transactio Code (Instr. 8) | 4. Securiti omr Disposo (Instr. 3, 4 | ed of (| (D) |) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | OwnershipIrForm:BDirect (D)O | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | , , , , | | |
| Common Stock | 12/20/2005 | | | М | 13,472 | А | \$ 7.4219 | 1,014,401 (2) | D | | |
| Common Stock | 12/20/2005 | | | М | 12,284 | А | \$ 8.1407 | 1,026,685 (2) | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|-----|--------|--|--------------------|---|---------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amour or Numbe of Shar |
| Incentive Stock Option (right to buy) | \$ 7.4219 | 12/20/2005 | | М | | 13,472 | 09/14/2004 <u>(1)</u> | 09/14/2010 | Common Stock | 13,47 |
| Incentive Stock Option (right to buy) | \$ 8.1407 | 12/20/2005 | | М | | 12,284 | 03/20/2005 <u>(3)</u> | 03/20/2011 | Common Stock | 12,28 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-----------------------------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| BARTZ CAROL 111 MCINNIS PARKWAY SAN RAFAEL, CA 94903 | Х | | Chairman, President and CEO | | | | |
| Signatures | | | | | | | |

Nancy R. Thiel, Attorney-in-fact for Carol Bartz 12/20/2005

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests over a four-year period beginning on 09/14/2000 at the rate of 0 shares on the first and second anniversaries, and 13,472 shares on each of the third and fourth anniversaries.

Date

- (2) The total number of shares reported as beneficially owned includes shares that reflect the 2-for-1 stock split payable on December 20, 2004 to shareholders of record on December 6, 2004.
- (3) The option vests over a four-year period beginning on 03/20/2001 at the rate of 0 shares on the first, second and third anniversaries, and 12,284 shares on the fourth anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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