## Edgar Filing: LUNA INNOVATIONS INC - Form 4

LUNA INN Form 4 April 05, 20	OVATIONS IN	С										
FORM	ЛД								OMB A	PPROVAL		
	S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-028	37			
Check this box if no longer subject to Section 16. Form 4 or			F CHAN	NGES IN SECUF	Expires: January 31 2009 Estimated average burden hours per response 0.5							
Form 5 obligation may con See Instr 1(b).	Filed pu ons Section 17	(a) of the	Public U		ding Coi	npany A	hange Act of Act of 1935 or If 1940		response	. 0.	.5	
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> WILLIAMSON JOHN B III			Symbol	er Name <b>and</b> INNOVA			5. Relatio Issuer	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
	(First) A INNOVATION RATED, 1 RIVE UITE 400		3. Date of	of Earliest T Day/Year)	ransaction		X Dir Offi below)			% Owner her (specify		
				endment, Da onth/Day/Yea	-	ıl	Applicable _X_ Form Form f	<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
(City)	(State)	(Zip)			~ • •	~	Person					
	· · ·						es Acquired, Dis	-		-		
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, -	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction( . (Instr. 3 and	F( (I (I (I (S)	orm: Direct D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(D) Pri	ice (insu: 5 and	.)				
Reminder: Rep	port on a separate lii	ne for each c	lass of sec	urities benef	ficially ow	ned direct	tly or indirectly.					

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.		5. Nu	mber	6. Date Exer	cisable and	7. Title and A	Amount of	8. Price c
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transa	saction of Expiration Date		Underlying Securities		Derivativ			
Security	or Exercise		any	Code		Deriva	ative	(Month/Day	/Year)	(Instr. 3 and	4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr.	8)	Securi	ities					(Instr. 5)
	Derivative					Acqui	red					
	Security					(A) or						
						Disposed						
						of (D)	)					
						(Instr.	3, 4,					
						and 5)	)					
				Code	v	(A)	(D)	Date	Expiration	Title	Amount	
				00000	•	()	(2)	Exercisable	Date	11110	or	
								2	Duit		Number	
											of	
											Shares	
Stock	<u>(1)</u>	04/01/2010		А		688		(2)	(3)	Common	688	\$ 2.18
Units	<u>(1)</u>	04/01/2010		A		000		<u>(-)</u>	(-)	Stock	000	φ 2.10

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
here and a second second second	Director	10% Owner	Officer	Other			
WILLIAMSON JOHN B III C/O LUNA INNOVATIONS INCORPORATED 1 RIVERSIDE CIRCLE, SUITE 400 ROANOKE, VA 24016	х						
Signatures							
/s/ Talfourd H. Kemper, Jr., Attorney-In-Fact	04/05/20	10					
**Signature of Reporting Person	Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock units are convertible into registrant's common stock on a 1-for-1 basis.

Stock units issued under the registrant's Non-Employee Director Deferred Compensation Plan in lieu of cash payment for director fees for the first quarter of 2010 in the amount of \$1,500. The Stock Units become issuable in common stock of the registrant at the election of the

- (2) reporting person upon the reporting person's termination of service, a change of control of the registrant, an unforeseeable emergency, or a fixed date selected by the reporting person. The stock units granted were calculated using the closing price of the issuer's common stock as reported on the NASDAQ Global Market on April 1, 2010.
- (3) Common stock to be issued under the registrant's 2006 Equity Incentive Plan, which expires June 6, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.