## Edgar Filing: COOL JONATHAN - Form 4

| Form 4  |   |   |   |  |   |        |                   |  |  |                                       |  |
|---|---|---|---|--|---|--------|-------------------|--|--|---------------------------------------|--|
| April 05, 201   | 1                                       |   | GECUD   |  |   |        |                   | COMMERION  | r  | PPROVAL                               |  |
|   | UNITED                                  | STATES  |   | hington,   |   |        | NGE (             | COMMISSION   | OMB<br>Number:   | 3235-0287                             |  |
| Check this<br>if no long<br>subject to<br>Section 16<br>Form 4 or<br>Form 5<br>obligation | er <b>STATEM</b>                        | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF |   |  |   |        |                   |  | burden hou<br>response   | Estimated average<br>burden hours per |  |
| may conti<br><i>See</i> Instru-<br>1(b).  | nue.                                    |   |   | vestment (                                       | •   | - ·    |                   |  | 11   |                                       |  |
| (Print or Type R  | esponses)                               |   |   |  |   |        |                   |  |  |                                       |  |
| 1. Name and Address of Reporting Person <u>*</u><br>COOL JONATHAN                         |   |   | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>LUNA INNOVATIONS INC<br>[LUNA] |  |   |        |                   | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)                                      |  |                                       |  |
|   |   |   | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>04/01/2010                       |  |   |        |                   | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below)  |  |                                       |  |
| (Street) 4. If Amendment, Date Origina<br>Filed(Month/Day/Year)                           |   |   |   |  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |        |                   |  |  |                                       |  |
| ROANOKE,  | VA 24015                                |   |   |  |   |        |                   |  | More than One Re   |                                       |  |
| (City)  | (State)                                 | (Zip)   | Table   | e I - Non-Do                                     | erivative S   | Securi | ties Acc          | quired, Disposed of  | f, or Beneficial   | ly Owned                              |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) | Execution any                                   |   | 3.<br>Transactio<br>Code<br>(Instr. 8)<br>Code V | on(A) or Di<br>(D)<br>(Instr. 3,  | spose  | d of              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                                       |  |
| Common<br>Stock   | 04/01/2010                              |   |   | A <u>(1)</u>                                     |   | D      | \$<br>2.18<br>(1) | 1,605  | D  |                                       |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | ;                   | Date               | Amou<br>Unde<br>Secur | le and<br>unt of<br>rlying<br>tities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---------------------------------------|--|---------------------|--------------------|-----------------------|--|---|---|
|   |   |   | Code V                                | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                 | Amount<br>or<br>Number<br>of<br>Shares             |   |   |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>  | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |
| COOL JONATHAN<br>C/O LUNA INNOVATIONS INCORPORATED<br>1 RIVERSIDE CIRCLE, SUITE 400<br>ROANOKE, VA 24015 | х             |           |         |       |  |  |
| Signatures   |               |           |         |       |  |  |
| /s/ Talfourd H. Kemper, Jr.,<br>Attorney-In-Fact   | 04/05/2010    |           |         |       |  |  |
| **Signature of Reporting Person  | Date          |           |         |       |  |  |
| Explanation of Deeneneers  |               |           |         |       |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares are issued under registrant's 2006 Equity Incentive Plan in lieu of cash payment for director fees for the first quarter of 2010 in the
 (1) amount of \$3,500. The shares granted were calculated using the closing price of the issuer's common stock as reported on the NASDAQ Global Market on April 1, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.