FLOTEK INDUSTRIES INC/CN/

Form 4 June 04, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Issuer

below)

_X__ Director

Officer (give title

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

N STE. 300

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person * **DUMAS JERRY D SR**

(First)

2930 W. SAM HOUSTON PKWY.

(Street)

(Middle)

2. Issuer Name and Ticker or Trading

Symbol

4. If Amendment, Date Original

FLOTEK INDUSTRIES INC/CN/

[FTK]

(Month/Day/Year)

06/02/2010

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chairman of the Board

10% Owner

Other (specify

OMB APPROVAL

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Filed(Month/Day/Year) Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

HOUSTON, TX 77043

(City)	(State)	(Zip) Tab	le I - Non-l	Derivativ	e Secu	rities Aco	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	06/02/2010		Code V S	Amount 8,000	(D)	Price \$ 1.376	527,094	D	
Common Stock	06/03/2010		S	1,225	D	\$ 1.5	525,869	D	
Common Stock	06/03/2010		S	4,000	D	\$ 1.46	521,869	D	
Common Stock	06/03/2010		S	6,800	D	\$ 1.45	515,069	D	
Common Stock	06/03/2010		S	6,000	D	\$ 1.44	509,069	D	

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Common Stock	06/03/2010	S	5,200	D	\$ 1.43	503,869	D	
Common Stock	06/03/2010	S	2,000	D	\$ 1.42	501,869	D	
Common Stock	06/03/2010	S	1,000	D	\$ 1.41	500,869	D	
Common Stock	06/03/2010	S	1,000	D	\$ 1.4	499,869	D	
Common Stock						18,096	I	Saxton River Corporation
Common Stock						26,000	I	Dora Tes Basileas Foundation

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. oriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and a Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 9.4					09/21/2005	09/20/2014	Common Stock	130,279
Common Stock	\$ 2.125					12/10/2005	12/09/2014	Common Stock	66,940
Common Stock	\$ 13.805					03/13/2008	03/12/2013	Common Stock	54,348
Common Stock	\$ 22.37					05/18/2008	05/17/2013	Common Stock	66,600
Common Stock	\$ 22.75					03/28/2009	09/27/2014	Common Stock	27,776

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Common Stock	\$ 2.51	02/16/2010	02/15/2015	Common Stock	200,000
Preferred Stock	\$ 2.3	08/12/2009	<u>(1)</u>	Common Stock	86,956
Exercisable Warrants	\$ 2.31	08/12/2009	08/11/2014	Common Stock	31,000
Exercisable Warrants	\$ 2.45	11/09/2009	10/11/2017	Common Stock	100,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
interest in the state of the st	Director	10% Owner	Officer	Other			
DUMAS JERRY D SR 2930 W. SAM HOUSTON PKWY. N STE. 300 HOUSTON, TX 77043	X		Chairman of the Board				

Signatures

/s/ Jerry D. Dumas, Sr.	06/04/2010			
**Signature of Reporting Person	Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Preferred stock is perpetual and has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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